Edgar Filing: DUN & BRADSTREET CORP/NW - Form 4

DUN & BRADSTREET CORP/NW

Form 4 June 14, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

3235-0287 Number: January 31, Expires:

2005

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OMB APPROVAL

Section 16. Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

burden hours per response...

5 D 1 (1 CD (D () () ()

Estimated average

may continue. See Instruction

1(b).

Common

Stock

06/10/2016

(Print or Type Responses)

1 Name and Address of Departing De

| | | Address of Reporting LANGENFELD . K | Symbol DUN | 2. Issuer Name and Ticker or Trading Symbol DUN & BRADSTREET CORP/NW [DNB] | | S. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
|-----------------------|-----------------|---|---|--|----------------------------|--|--------------------|--------------|--|
| | (Last) | · · · | Middle) 3. Date of Earliest Transaction (Month/Day/Year) | | | X Director Officer (give t below) | itle 10% below) | | |
| | 103 JFK PARKWAY | | 06/10 | 06/10/2016 | | | | | |
| | (Street) | | | 4. If Amendment, Date Original | | 6. Individual or Joint/Group Filing(Check | | | |
| SHORT HILLS, NJ 07078 | | | Filed(1 | Month/Day/Ye | ear) | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| | (City) | (State) | (Zip) T | able I - Non | -Derivative Securities Acq | uired, Disposed of, | or Beneficiall | ly Owned | |
| | 1.Title of | 2. Transaction Date | 2A. Deemed | 3. | 4. Securities Acquired (A |) 5. Amount of | 6. | 7. Nature of | |
| | Security | (Month/Day/Year) | Execution Date, if | Transacti | omr Disposed of (D) | Securities | Ownership | Indirect | |
| | (Instr. 3) | | any | Code | (Instr. 3, 4 and 5) | Beneficially | Form: | Beneficial | |
| | | | (Month/Day/Year | (Instr. 8) | | Owned | Direct (D) | Ownership | |
| | | | | | | Following | or Indirect | (Instr. 4) | |

(A)

or

(D)

A

Price

126.035

Amount

6.9326

(1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Code

A

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Reported

Transaction(s)

(Instr. 3 and 4)

1,817.8016

(I)

D

(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exerc Expiration D (Month/Day/ | ate | 7. Title and Lunderlying S (Instr. 3 and | Securities |
|--|---|---|---|--|---|--|--------------------|--|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Phantom Stock Units - Deferred Compensation | (3) | 06/10/2016 | | A | 6.552 (2) | <u>(4)</u> | <u>(5)</u> | Common Stock | 6.552 (2) |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--|---------------|-----------|---------|-------|--|--|
| . 0 | Director | 10% Owner | Officer | Other | | |
| CHRISTY LANGENFELD CYNTHIA K 103 JFK PARKWAY SHORT HILLS, NJ 07078 | X | | | | | |

Signatures

/s/ Karen K. Pettiford-Wilson for Cynthia K. Christy-Langenfeld by POA previously filed 06/14/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquired pursuant to a dividend reinvestment feature of the issuer's stock incentive plan in connection with restricted stock units held by the reporting person.
- (2) Phantom stock is reported in units vs. shares in the D&B Common Stock Fund of the issuer's non-employee directors' deferred compensation plan (the "Plan").
- Each phantom stock unit entitles the reporting person to a cash payment based on the value on the payout date of the issuer's common (3) stock corresponding to such units. Based on the \$125.75 closing price of the issuer's common stock on the transaction date, one share of common stock corresponds to approximately 2.68 units.
- (4) The reporting person may transfer these phantom stock units to alternative investment funds in the Plan beginning three years from the transaction date.
- (5) These phantom stock units are payable in cash after the reporting person ceases to be a member of the issuer's board of directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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