

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-A

SWISS HELVETIA FUND, INC.

Form NSAR-A

August 29, 2016

000 A000000 06/30/2016  
000 C000000 0000813623  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 The Swiss Helvetia Fund, Inc.  
001 B000000 811-05128  
001 C000000 8007302932  
002 A000000 875 Third Avenue, 22nd Floor  
002 B000000 New York  
002 C000000 NY  
002 D010000 10022  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
008 A000001 SCHRODER INVESTMENT MANAGEMENT N.A. INC.  
008 B000001 A  
008 C000001 801-15834  
008 D010001 NEW YORK  
008 D020001 NY  
008 D030001 10022  
008 A000002 SCHRODER INVESTMENT MANAGEMENT N.A. LTD.  
008 B000002 S  
008 C000002 801-37163  
008 D010002 LONDON  
008 D050002 UNITED KINGDOM  
008 D060002 EC2V 7QA  
010 A000001 J.P. MORGAN INVESTOR SERVICES CO.  
010 B000001 84-17190  
010 C010001 BOSTON  
010 C020001 MA  
010 C030001 02108  
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY  
012 B000001 84-00416  
012 C010001 NEW YORK  
012 C020001 NY  
012 C030001 10038  
013 A000001 PRICEWATERHOUSECOOPERS LLP  
013 B010001 PHILADELPHIA  
013 B020001 PA  
013 B030001 19103  
014 A000001 SCHRODER FUND ADVISORS LLC  
014 B000001 8-40973  
015 A000001 J.P. MORGAN CHASE BANK  
015 B000001 S  
015 C010001 NEW YORK  
015 C020001 NY  
015 C030001 10081  
018 000000 Y  
019 A000000 N  
019 B000000  
020 A000001 UBS AG

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020 B000001 13-3873456  
 020 C000001 10  
 020 A000002 JPMORGAN CHASE & CO  
 020 B000002 13-3224022  
 020 C000002  
 020 A000003 BANK OF AMERICA CORP.  
 020 B000003 56-2058405  
 020 C000003 7  
 020 A000004 CREDIT SUISSE GROUP  
 020 B000004 13-2853402  
 020 C000004 6  
 020 A000005 Investment Technology Group  
 020 B000005 13-3757717  
 020 C000005 5  
 020 A000006 HSBC HOLDINGS PLC  
 020 B000006 13-2650272  
 020 C000006 4  
 020 A000007 BARCLAYS CAPITAL, INC.  
 020 B000007 13-4942190  
 020 C000007 3  
 020 A000008 MORGAN STANLEY & CO., INC.  
 020 B000008 13-2655998  
 020 C000008 3  
 020 A000009 VONTOBEL HOLDING AG  
 020 B000009 00-0000000  
 020 C000009 2  
 020 A000010 CITIGROUP, INC.  
 020 B000010 11-2418067  
 020 C000010 2  
 021 000000 58  
 022 A000001 CREDIT SUISSE GROUP  
 022 B000001 13-2853402  
 022 C000001 1097  
 022 D000001 0  
 023 C000000 1326  
 023 D000000 0  
 024 000000 Y  
 025 A000001 CREDIT SUISSE GROUP  
 025 B000001 13-2853402  
 025 C000001 E  
 025 D000001 4854  
 025 A000002 UBS AG  
 025 B000002 13-3873456  
 025 C000002 E  
 025 D000002 10895  
 026 A000000 N  
 026 B000000 Y  
 026 C000000 N  
 026 D000000 Y  
 026 E000000 N  
 026 F000000 N  
 026 G010000 N  
 026 G020000 N  
 026 H000000 N  
 027 000000 N  
 045 000000 Y  
 046 000000 N  
 047 000000 Y  
 048 000000 0.000  
 048 A010000 250000  
 048 A020000 0.700  
 048 B010000 350000

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048	B020000	0.600
048	C010000	450000
048	C020000	0.550
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048	D020000	0.500
048	E010000	0
048	E020000	0.000
048	F010000	0
048	F020000	0.000
048	G010000	0
048	G020000	0.000
048	H010000	0
048	H020000	0.000
048	I010000	0
048	I020000	0.000
048	J010000	0
048	J020000	0.000
048	K010000	550000
048	K020000	0.450
049	000000	N
050	000000	N
051	000000	N
052	000000	N
053	A000000	N
054	A000000	Y
054	B000000	N
054	C000000	N
054	D000000	N
054	E000000	N
054	F000000	N
054	G000000	N
054	H000000	Y
054	I000000	N
054	J000000	Y
054	K000000	N
054	L000000	N
054	M000000	Y
054	N000000	N
054	O000000	N
055	A000000	N
055	B000000	N
056	000000	Y
057	000000	N
058	A000000	N
059	000000	Y
060	A000000	N
060	B000000	N
061	000000	0
062	A000000	N
062	B000000	0.0
062	C000000	0.0
062	D000000	0.0
062	E000000	0.0
062	F000000	0.0
062	G000000	0.0
062	H000000	0.0
062	I000000	0.0
062	J000000	0.0
062	K000000	0.0
062	L000000	0.0
062	M000000	0.0
062	N000000	0.0

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062	O000000	0.0
062	P000000	0.0
062	Q000000	0.0
062	R000000	0.0
066	A000000	Y
066	B000000	N
066	C000000	Y
066	D000000	N
066	E000000	N
066	F000000	N
066	G000000	N
067	O000000	N
068	A000000	N
068	B000000	Y
069	O000000	N
070	A010000	Y
070	A020000	N
070	B010000	Y
070	B020000	N
070	C010000	Y
070	C020000	N
070	D010000	Y
070	D020000	N
070	E010000	N
070	E020000	N
070	F010000	N
070	F020000	N
070	G010000	N
070	G020000	N
070	H010000	N
070	H020000	N
070	I010000	N
070	I020000	N
070	J010000	Y
070	J020000	Y
070	K010000	Y
070	K020000	Y
070	L010000	Y
070	L020000	Y
070	M010000	Y
070	M020000	Y
070	N010000	Y
070	N020000	N
070	O010000	Y
070	O020000	N
070	P010000	N
070	P020000	N
070	Q010000	N
070	Q020000	N
070	R010000	N
070	R020000	N
071	A000000	30208
071	B000000	39498
071	C000000	322570
071	D000000	9
072	A000000	6
072	B000000	0
072	C000000	7113
072	D000000	0
072	E000000	0
072	F000000	1117
072	G000000	45

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072	H000000	0
072	I000000	16
072	J000000	21
072	K000000	0
072	L000000	62
072	M000000	271
072	N000000	0
072	O000000	0
072	P000000	0
072	Q000000	0
072	R000000	51
072	S000000	170
072	T000000	0
072	U000000	0
072	V000000	0
072	W000000	138
072	X000000	1891
072	Y000000	0
072	Z000000	5222
072AA	000000	0
072BB	000000	7246
072CC	010000	0
072CC	020000	6194
072DD	010000	0
072DD	020000	0
072EE	000000	0
073	A010000	0.0000
073	A020000	0.0000
073	B000000	0.0000
073	C000000	0.0000
074	A000000	4795
074	B000000	0
074	C000000	0
074	D000000	0
074	E000000	3086
074	F000000	309807
074	G000000	0
074	H000000	0
074	I000000	6299
074	J000000	0
074	K000000	0
074	L000000	2451
074	M000000	10784
074	N000000	337222
074	O000000	0
074	P000000	187
074	Q000000	0
074	R010000	0
074	R020000	0
074	R030000	0
074	R040000	246
074	S000000	0
074	T000000	336789
074	U010000	28082
074	U020000	0
074	V010000	11.99
074	V020000	0.00
074	W000000	0.0000
074	X000000	452
074	Y000000	0
075	A000000	0
075	B000000	330939

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076	000000	10.35	
077	A000000	Y	
077	B000000	N	
077	C000000	Y	
077	D000000	N	
077	E000000	N	
077	F000000	N	
077	G000000	N	
077	H000000	N	
077	I000000	N	
077	J000000	N	
077	K000000	N	
077	L000000	N	
077	M000000	N	
077	N000000	N	
077	O000000	N	
077	P000000	N	
077	Q010000	N	
077	Q020000	N	
077	Q030000	N	
078	000000	N	
080	C000000		
086	A010000	345	
086	A020000	3312	
086	B010000	242	
086	B020000	2436	
086	C010000	0	
086	C020000	0	
086	D010000	0	
086	D020000	0	
086	E010000	0	
086	E020000	0	
086	F010000	0	
086	F020000	0	
087	A010000	THE SWISS HELVETIA FUND, INC.	
087	A020000	870875101	
087	A030000	SWZ	
088	A000000	N	
088	B000000	N	
088	C000000	N	
088	D000000	N	
SIGNATURE	ALAN MANDEL		
TITLE	TREASURER		