

NewStar Financial, Inc.  
Form 8-K  
November 05, 2008

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**

**Washington, D.C. 20549**

**FORM 8-K**

**CURRENT REPORT**

**Pursuant to Section 13 or 15(d) of The Securities Exchange Act of 1934**

**November 5, 2008**

**Date of Report (Date of earliest event reported)**

**NewStar Financial, Inc.**

**(Exact name of registrant as specified in its charter)**

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|                                                                |                          |                                      |
|----------------------------------------------------------------|--------------------------|--------------------------------------|
| <b>Delaware</b>                                                | <b>001-33211</b>         | <b>54-2157878</b>                    |
| (State or other jurisdiction<br>of incorporation)              | (Commission File Number) | (IRS Employer<br>Identification No.) |
| <b>500 Boylston Street, Suite 1600, Boston, MA 02116</b>       |                          |                                      |
| (Address of principal executive offices) (Zip Code)            |                          |                                      |
| <b>(617) 848-2500</b>                                          |                          |                                      |
| (Registrant's telephone number, including area code)           |                          |                                      |
| <b>N/A</b>                                                     |                          |                                      |
| (Former name or former address, if changed since last report.) |                          |                                      |

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

**ITEM 2.02. RESULTS OF OPERATIONS AND FINANCIAL CONDITION.**

On November 5, 2008, NewStar Financial, Inc. issued a press release announcing results for the third quarter ended September 30, 2008. The press release is furnished herewith as Exhibit 99.1 to this Current Report on Form 8-K and incorporated herein by reference.

**ITEM 9.01. FINANCIAL STATEMENTS AND EXHIBITS.**

(d) Exhibits

99.1 Press Release of NewStar Financial, Inc. dated November 5, 2008.

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

**NEWSTAR FINANCIAL INC.**

Date: November 5, 2008

By: /s/ JOHN K. BRAY  
**John K. Bray**  
**Chief Financial Officer**

**EXHIBIT INDEX**

| <b>Exhibit No.</b> | <b>Description</b>                                               |
|--------------------|------------------------------------------------------------------|
| 99.1               | Press Release of NewStar Financial, Inc. dated November 5, 2008. |