Spinelli Alexander Form 4 May 08, 2018

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(City)

(State)

(Zip)

(Print or Type Responses)

See Instruction

| 1. Name and Address of Reporting Person * Spinelli Alexander                 | 2. Issuer Name and Ticker or Trading Symbol LIVEPERSON INC [LPSN] | 5. Relationship of Reporting Person(s) to Issuer   |  |  |  |
|--|---|--|--|--|--|
| (Last) (First) (Middle)  C/O LIVEPERSON, INC.,, 475  TENTH AVENUE, 5TH FLOOR | 3. Date of Earliest Transaction (Month/Day/Year) 03/01/2018       | (Check all applicable)  Director 10% OwnerX_ Officer (give title Other (specif below)  EVP, Chief Technology Officer                           |  |  |  |
| (Street) NEW YORK, NY 10018  | 4. If Amendment, Date Original Filed(Month/Day/Year)              | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |  |

|                 |                     | 1 401              | ble 1 - Non-Berryauve Securities Acquireu, Disposed of, or Beneficiary Owned |                |       |              |                  |              |              |
|-----------------|---------------------|--------------------|--|----------------|-------|--------------|------------------|--------------|--------------|
| 1.Title of      | 2. Transaction Date | 2A. Deemed         | 3.   | 4. Securitie   | s Acq | uired        | 5. Amount of     | 6. Ownership | 7. Nature of |
| Security        | (Month/Day/Year)    | Execution Date, if | Transaction(A) or Disposed of (D)  |                |       | Securities   | Form: Direct     | Indirect     |              |
| (Instr. 3)      |                     | any                | Code (Instr. 3, 4 and 5)   |                |       | Beneficially | (D) or           | Beneficial   |              |
|                 |                     | (Month/Day/Year)   | (Instr. 8)   | 8)             |       |              | Owned            | Indirect (I) | Ownership    |
|                 |                     |                    |  |                |       |              | Following        | (Instr. 4)   | (Instr. 4)   |
|                 |                     |                    |  |                | (4)   |              | Reported         |              |              |
|                 |                     |                    |  |                | (A)   |              | Transaction(s)   |              |              |
|                 |                     |                    |  |                | or    |              | (Instr. 3 and 4) |              |              |
|                 |                     |                    | Code V   | Amount         | (D)   | Price        | ,                |              |              |
| Common<br>Stock | 03/01/2018          |                    | A  | 200,000<br>(1) | A     | \$0          | 200,000 (2)      | D            |              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |     | )                   |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                  |
|---|---|---|---|---|---|-----|---------------------|--------------------|---|----------------------------------|
|   |   |   |   | Code V                                  | (A)   | (D) | Date<br>Exercisable | Expiration<br>Date | Title   | Amount or<br>Number of<br>Shares |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 14.5   | 03/01/2018                              |   | A                                       | 100,000   |     | <u>(3)</u>          | 03/01/2028         | Common<br>Stock   | 100,000                          |

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Spinelli Alexander C/O LIVEPERSON, INC., 475 TENTH AVENUE, 5TH FLOOR NEW YORK, NY 10018

EVP, Chief Technology Officer

#### **Signatures**

/s/ Daryl J. Carlough, Attorney-in-Fact for Alexander Spinelli

05/08/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - The reporting person was granted restricted stock units, each of which represents a contingent right to receive one share of common stock.
- (1) The restricted stock units vest as follows: 25% on each of March 1, 2019, 2020, 2021 and 2022, subject to the reporting person's employment through each such vesting date.
- (2) Number reported includes 200,000 unvested restricted stock units granted to and held by the reporting person as of the date of this filing.
- (3) The options vest as follows: 25% on each of March 1, 2019, 2020, 2021, and 2022 subject to the reporting person's employment through each such vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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