ONeill Thomas Emmet III Form 4 June 28, 2018

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * ONeill Thomas Emmet III			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
			TreeHouse Foods, Inc. [THS]	(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				
			(Month/Day/Year)	Director 10% Owner			
2021 SPRING ROAD, SUITE 600			06/26/2018	_X_ Officer (give title Other (specify below)  Exec VP, Gen Counsel & CAO			
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person			
OAK BROOK, IL 60523				Form filed by More than One Reporting Person			

(City)	(State) (	Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount		Price	(Instr. 3 and 4)		
Common Stock	06/26/2018		M <u>(1)</u>	1,170	A	\$0	134,416	D	
Common Stock	06/26/2018		F(2)	329	D	\$ 53.71	134,087	D	
Common Stock	06/26/2018		A(3)	1,172	A	\$ 0	135,259	D	
Common Stock	06/26/2018		F(4)	330	D	\$ 53.71	134,929	D	
Common Stock	06/27/2018		M(1)	1,083	A	\$0	136,012	D	

#### Edgar Filing: ONeill Thomas Emmet III - Form 4

Common Stock 06/27/2018  $F^{(2)}$  305 D  ${}^{\$}$  135,707 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number omf Derivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deri Secu (Inst
					(Instr. 3, 4, and 5)	Date Exercisable	Expiration Date	Title	Amount or Number	
				Code V	(A) (D)	Exercisable	Date		of Shares	
Restricted Stock Unit	<u>(5)</u>	06/26/2018		M <u>(1)</u>	1,170	<u>(6)</u>	<u>(6)</u>	Common Stock	1,170	S
Restricted Stock Unit	<u>(5)</u>	06/27/2018		M <u>(1)</u>	1,083	<u>(7)</u>	<u>(7)</u>	Common Stock	1,083	S

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

ONeill Thomas Emmet III 2021 SPRING ROAD SUITE 600 OAK BROOK, IL 60523

Exec VP, Gen Counsel & CAO

### **Signatures**

Thomas E. O6/28/2018

\*\*Signature of Date

Reporting Person

Reporting Owners 2

#### Edgar Filing: ONeill Thomas Emmet III - Form 4

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Settlement of restricted stock units into shares of common stock.
- (2) Shares withheld to satisfy minimum tax withholding requirements upon vesting of restricted stock units.
- (3) Settlement of non-derivative performance units for the performance period ended December 31, 2017, granted under the TreeHouse Equity and Incentive Plan and exempt from liability under Section 16(b) of the Securities Exchange Act pursuant to Rule 16b-3(d).
- (4) Shares withheld to satisfy minimum tax withholding requirements upon settlement of non-derivative performance units.
- (5) Each restricted stock unit represents a contingent right to receive one share of common stock of TreeHouse Foods, Inc.
- The grant date of the restricted stock units was June 26, 2015. The restricted stock units vest and settle in stock or cash in three approximately equal installments on each of the first three anniversaries of the grant date.
- (7) The grant date of the restricted stock units was June 27, 2016. The restricted stock units vest and settle in stock or cash in three approximately equal installments on each of the first three anniversaries of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.