

AMERICAN ELECTRIC POWER COMPANY INC  
Form U-9C-3  
May 30, 2001

File No. 074-00015

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM U-9C-3  
QUARTERLY REPORT

For the quarter ended March 31, 2001

Filed Pursuant to Rule 58 of the Public Utility Holding Company  
Act of 1935

by  
AMERICAN ELECTRIC POWER COMPANY, INC.  
1 Riverside Plaza, Columbus, Ohio 43215

AMERICAN ELECTRIC POWER COMPANY, INC.  
FORM U-9C-3  
For the Quarter Ended March 31, 2001

CONTENTS

	Page
ITEM 1 - Organization Chart	1-3
ITEM 2 - Issuances and Renewals of Securities and Capital Contributions	3-4

## Edgar Filing: AMERICAN ELECTRIC POWER COMPANY INC - Form U-9C-3

ITEM 3 - Associated Transactions	5
ITEM 4 - Summary of Aggregate Investment	6
ITEM 5 - Other Investments	6
ITEM 6 - Financial Statements and Exhibits	7-65
Statements of Income	
Balance Sheet	
Exhibits	

### ITEM 1 - ORGANIZATION CHART

Name of Reporting Company	Energy or gas-related Company	Date of Organization	State of Organization	Percentage Of Voting Securities Held
AEP Energy Services, Inc. (AEPES)	Energy	September 24, 1996	Ohio	100%
Polk Power Partners, L.P. (Polk Cogen)	Energy	February 1992	Delaware	46.25%
Orange Cogeneration Limited Partnership (Orange Cogen)	Energy	February 1993	Delaware	50%
Brush Cogeneration Partners (Brush Cogen)	Energy	November 1991	Delaware	47.25%
Eastex Cogeneration Limited Partnership (ownership through various intermediate Energy Inc./CSW)	Energy	September 1998	Delaware	50%
Thermo Cogeneration Partnership, L.P. (Thermo Cogen) (ownership through intermediate holding company CSW Ft. Lupton, Inc./CSW Energy Inc./CSW)	Energy	April 1993	Delaware	50%
Sweeny Cogeneration Limited Partnership (Sweeny Cogen) (ownership through various intermediate holding company subsidiaries originating with CSW Sweeny LP I, Inc./CSW Energy Inc./CSW)	Energy	October 1995	Delaware	50%
Diversified Energy Contractors LLC (DECCO) (CSW Energy Inc. / CSW)	Energy	July 1997	Delaware	100%
CSW Power Marketing, Inc. (CSW Energy Inc. / CSW)	Energy	March 1996	Delaware	100%
CSW Services International, Inc. (CSW Energy Inc. / CSW)	Energy	March 1997	Delaware	100%
Powerware Solutions, Inc. (PSO Investment)	Energy	February 1994	Texas	2.68%

## Edgar Filing: AMERICAN ELECTRIC POWER COMPANY INC - Form U-9C-3

Utility Data Resources, Inc. (CSW Energy Services, Inc. Investment)	Energy	December 1997	Delaware	4.5%
Nuvest, LLC (ESI Investment)	Energy	March 1996	Oklahoma	93%
AEMT, Inc. (PSO Investment)	Energy	December 1997	Florida	0%
CSW Energy Services, Inc. (ESI) (CSW)	Energy	September 1997	Delaware	100%
EnerShop (CSW)	Energy	September 1995	Delaware	100%
Energy Trading Platform Holding Company, Inc. (AEP Energy Services)	Energy	April 2000	Delaware	16.7%
Power-span Corp (AEP Pro-Serv Inc)	Energy		Delaware	9.8%
PHPK Technologies Inc. (AEP Investments)	Energy	July 1991	Ohio	40.4%
Altra Energy Technology (AEP Investments)	Energy	December 1997	Delaware	5%
AEP C&I Company, LLC (AEP)	Energy	October 2000	Delaware	100%
AEP Gas Power GP, LLC (AEP C&I Company LLC)	Energy	December 2000	Delaware	100%
AEP Gas Power System GP, LLC (AEP Gas Power GP, LLC)	Energy	December 2000	Delaware	75%
AEP Ohio C&I Retail Company LLC (AEP C&I Company LLC)	Energy	October 2000	Delaware	100%
AEP Retail Energy, LLC (AEP)	Energy	June 2000	Delaware	100%
AEP T&D Services, LLC (AEP)	Energy	December 2000	Delaware	100%
AEP Texas C&I Retail GP, LLC (AEP C&I Company LLC)	Energy	December 2000	Delaware	100%
AEP Texas Retail GP, LLC (AEP)	Energy	December 2000	Delaware	100%
Mutual Energy LLC	Energy	December 1999	Delaware	100%

# Edgar Filing: AMERICAN ELECTRIC POWER COMPANY INC - Form U-9C-3

(AEP)

Mutual Energy Service Company LLC    Energy    September 2000    Delaware    100%  
 (Mutual Energy LLC)

## ITEM 2 - ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL CONTRIBUTIONS

### SECURITIES:

Company Issuing Security	Type of Security Issued	Principal Amount of Security	Issue or Renewal	Cost of Capital	Person to Whom Security Was Issued	Collateral Given With Security
-----	-----	-----	-----	-----	-----	-----
(in thousands)						

None

### FINANCING AMONG ASSOCIATE COMPANIES:

Company Issuing Security	Type of Security Issued	Principal Amount of Security	Issue or Renewal	Cost of Capital	Person to Whom Security Was Issued
-----	-----	-----	-----	-----	-----
Eastex Cogeneration LP	Loan Note	11,692	-	-	CSW Energy, Inc.
CSW Power Marketing, Inc.	Loan Note	224	-	-	CSW Energy, Inc.

Note: Above excludes short-term advances from affiliates. However, these advances are hereinafter included in the aggregate investment reported in Item 4.

### CAPITAL CONTRIBUTIONS:

Company Contributing Capital	Company Receiving Capital	Amount of Capital Contributions
-----	-----	-----
(in thousands)		
AEP Gas Power GP LLC	AEP Gas Power Systems, LLC	1,261

## ITEM 3 - ASSOCIATED TRANSACTIONS

Part I -- Transactions performed by reporting companies on behalf of associate companies

Reporting	Associate
-----------	-----------

Edgar Filing: AMERICAN ELECTRIC POWER COMPANY INC - Form U-9C-3

Company Rendering Services	Company Receiving Services	Types of Services Rendered	Direct Costs Charged	Indirect Costs Charged	Cost of Capital	Total Amount Billed
Nuvest, LLC	South Texas Project	Staff	\$ 2,080	-	-	\$ 2,080
Nuvest, LLC	D.C. Cook	Staff	4,029	-	-	4,029
CSW Services International Inc.	Frontera	Plant Services	1,099	240	-	1,339

Part II -- Transactions performed by associate companies on behalf of reporting companies

Associate Company Rendering Services	Reporting Company Receiving Services	Types of Services Rendered	Direct Costs Charged	Indirect Costs Charged
American Electric Power Service Corporation	AEPES	Administrative & Marketing	\$16,614	\$1,797
AEP Resources Gas Holding Company	AEPES	Administrative	7,651	-
AEP Pro Serv, Inc.	AEPES	Administrative	65	-
CSW Energy, Inc.	Polk Power Partners, L.P.	Plant Services	410	175
CSW Energy, Inc.	Orange Cogeneration L.P.	Plant Services	410	171
CSW Dev I, Inc.	Polk Power Partners, L.P.	Plant Services	135	-
CSW Energy, Inc.	Eastex Cogeneration L.P.	Plant Services	1,594	152
CSW Energy, Inc.	Frontera Cogeneration L.P.	Plant Services	41	-
CSW Services International Inc.	Sweeny Cogeneration L.P.	Plant Services	684	209
Total			\$27,604	\$2,504

ITEM 4 - SUMMARY OF AGGREGATE INVESTMENT

Investments in energy-related companies:

(in thousands)

Total consolidated capitalization as of March 31, 2001	\$23,000,365 (a)	Line 1
Total capitalization multiplied by 15% (line 1 multiplied by 0.15)	3,450,055	Line 2
Greater of \$50 million or line 2	\$3,450,055	Line 3
Total current aggregate investment:		

## Edgar Filing: AMERICAN ELECTRIC POWER COMPANY INC - Form U-9C-3

(categorized by major line of energy-related business)

Energy-related Category 1: Energy Services	17,889	
Energy-related Category 5: Energy Marketing	573,193	
Energy-related Category 7: Maintenance Services	52,513	
Energy-related Category 8: Qualifying Facility	349,780	
Energy-related Category 2,3,4,6,9,10	20,000	
Total current aggregate investment		1,013,375 Line 4 -----

Difference between the greater of \$50 million or 15% of capitalization and the total aggregate investment of the registered holding company system (line 3 less line 4)		\$2,436,680 Line 5 =====
--	--	-----------------------------

(a) Includes short-term debt.

Investments in gas-related companies:

NONE

### ITEM 5 - OTHER INVESTMENTS

Major Line of Energy-Related Business -----	Other Investment in Last U-9C-3 Report -----	Other Investment in This U-9C-3 Report -----	Reason for Difference in Other Investment -----
Energy services Invested prior to April 1, 1997	\$ 2,696	\$ 2,696	Amounts are excluded from Item
Maintenance services	0	0	
Qualifying facility Invested prior to April 1, 1997	\$194,644	\$194,644	Amounts are excluded from Item

### ITEM 6 - FINANCIAL STATEMENTS AND EXHIBITS

List all financial statements and exhibits filed as a part of this report.

#### Financial Statements:

Balance sheets for period ended March 31, 2001 are filed confidentially under a separate cover for:

- AEP Energy Services, Inc.
- AEP Retail Energy, LLC
- CSW Development-I, Inc. (consolidated)
- CSW Ft. Lupton, Inc.
- Sweeny Companies (consolidated)
- Enershop, Inc.
- CSW Energy Services, Inc.
- CSW Power Marketing, Inc.
- Diversified Energy Contractors Company (DECCO)
- CSW Services International
- Eastex Cogeneration LP
- Nuvest, LLC
- AEP C&I Company, LLC

## Edgar Filing: AMERICAN ELECTRIC POWER COMPANY INC - Form U-9C-3

AEP Gas Power GP, LLC  
AEP Gas Power System GP, LLC  
AEP Ohio C&I Retail Company  
AEP Retail Energy, LLC  
AEP T&D Services, LLC  
AEP Texas C&I Retail GP, LLC  
AEP Texas Retail GP, LLC  
Mutual Energy LLC  
Mutual Energy Service Company

Income Statements as of the three months ended March 31, 2001 are filed confidentially under separate cover for:

AEP Energy Services, Inc.  
AEP Retail Energy, LLC  
CSW Development-I, Inc. (consolidated)  
CSW Ft. Lupton, Inc.  
Sweeny Companies (consolidated)  
Enershop, Inc.  
CSW Energy Services, Inc.  
CSW Power Marketing, Inc.  
Diversified Energy Contractors Company (DECCO)  
CSW Services International  
Eastex Cogeneration LP  
Nuvest, LLC  
AEP C&I Company, LLC  
AEP Gas Power GP, LLC  
AEP Gas Power System GP, LLC  
AEP Ohio C&I Retail Company  
AEP Retail Energy, LLC  
AEP T&D Services, LLC  
AEP Texas C&I Retail GP, LLC  
  
AEP Texas Retail GP, LLC  
Mutual Energy LLC  
Mutual Energy Service Company

### Exhibits:

1. Certificate stating that a copy of the U-9C-3 for the previous quarter has been filed with the Ohio, Kentucky, Virginia, West Virginia, Tennessee, Indiana, Arkansas, Louisiana, Oklahoma, Michigan and Texas State Commissions.
2. Copies of other contracts required by Item 3 - filed under confidential treatment pursuant to Rule 104(b).

### CERTIFICATE

The undersigned certifies that he is the duly designated and acting Treasurer of American Electric Power Company, Inc., a New York corporation ("AEP"), and that:

AEP's Quarterly Report on Form U-9C-3 filed pursuant to Rule 58 for the quarter ended December 31, 2000 (the "Quarterly Report on Form U-9C-3") was filed with each state commission having jurisdiction over the retail rates of the public utility companies that are associate companies of any of the reporting companies (the "Specific State Commissions").

Edgar Filing: AMERICAN ELECTRIC POWER COMPANY INC - Form U-9C-3

The names and addresses of the Specific State Commissions are:

Indiana Utility Regulatory Commission  
302 West Washington Street, E 306  
Indianapolis, Indiana 46204

Kentucky Public Service Commission  
730 Schenkel Lane  
P.O. Box 615  
Frankfort, Kentucky 40602

Michigan Public Service Commission  
6545 Mercantile Way  
P.O. Box 30221  
Lansing, Michigan 48909

Public Service Commission of West Virginia  
201 Brooks Street  
P.O. Box 812  
Charleston, West Virginia 25323

Tennessee Regulatory Authority  
460 James Robertson Parkway  
Nashville, Tennessee 37243-0505

The Public Utilities Commission of Ohio  
180 East Broad Street  
Columbus, Ohio 43215

Virginia State Corporation Commission  
1300 East Main Street  
P.O. Box 2118  
Richmond, Virginia 23216

Arkansas Public Service Commission  
1000 Center  
P.O. Box 400  
Little Rock, AR 72203-0400

Louisiana Public Service Commission  
One American Place  
P.O. Box 91154  
Baton Rouge, LA 70821-9154

Oklahoma Corporation Commission  
2102 N. Lincoln Blvd.  
P.O. Box 52000-2000  
Oklahoma City, OK 73152-2000

Public Utility Commission of Texas  
1701 N. Congress Avenue  
Austin, TX 78701

IN WITNESS WHEREOF, I have hereunto set my hand as of the 29th day of  
May, 2001.

/s/ A. A. Pena

-----  
A. A. Pena



Treasurer

SIGNATURE

The undersigned system company has duly caused this quarterly report to be signed on its behalf by the undersigned, thereunto duly authorized, pursuant to the requirements of the Public Utility Holding Company Act of 1935.

AMERICAN ELECTRIC POWER COMPANY, INC.

By /s/ A. A. Pena

-----  
A.A. Pena  
Treasurer

May 29, 2001