

Builders FirstSource, Inc.
Form 4
December 03, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
MCALEENAN DONALD F

(Last) (First) (Middle)

2001 BRYAN STREET, SUITE 1600

(Street)

DALLAS, TX 75201

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
Builders FirstSource, Inc. [BLDR]

3. Date of Earliest Transaction (Month/Day/Year)
12/01/2015

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
X Officer (give title below) ___ Other (specify below)

SVP & General Counsel

6. Individual or Joint/Group Filing (Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|--|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock, par value \$0.01 per share | 12/01/2015 | | M | | 23,343 | A | \$ 7.67 |
| Common Stock, par value \$0.01 per share | 12/01/2015 | | M | | 36,657 | A | \$ 3.19 |
| Common Stock, par value \$0.01 per share | 12/01/2015 | | S | | 60,000 | D | \$ 13.36 |

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| | | | | | | | |
|--|------------|---|--------|---|---------------------------|---------|---|
| Common Stock, par value \$0.01 per share | 12/02/2015 | M | 4,300 | A | \$ 3.19 | 285,534 | D |
| Common Stock, par value \$0.01 per share | 12/02/2015 | M | 85,700 | A | \$ 7.15 | 371,234 | D |
| Common Stock, par value \$0.01 per share | 12/02/2015 | S | 90,000 | D | \$ <u>13.48</u> (2) | 281,234 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|---|--|--------------------------------------|--|--------------------------------|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title Amount or Number of Shares |
| Employee Stock Option (right to buy) ⁽³⁾ | \$ 7.67 | 12/01/2015 | | M | 23,343 | ⁽⁴⁾ 02/11/2024 | Common Stock 23,343 |
| Employee Stock Option (right to buy) ⁽³⁾ | \$ 3.19 | 12/01/2015 | | M | 36,657 | ⁽⁵⁾ 02/03/2020 | Common Stock 36,657 |
| Employee Stock Option (right to buy) ⁽³⁾ | \$ 3.19 | 12/02/2015 | | M | 4,300 | ⁽⁵⁾ 02/03/2020 | Common Stock 4,300 |

| | | | | | | | | |
|---|---------|------------|---|--------|----------------|------------|-----------------|--------|
| Employee Stock Option (right to buy) ⁽⁶⁾ | \$ 7.15 | 12/02/2015 | M | 85,700 | ⁽⁷⁾ | 05/22/2018 | Common Stock | 85,700 |
|---|---------|------------|---|--------|----------------|------------|-----------------|--------|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-----------------------|-------|
| | Director | 10% Owner | Officer | Other |
| MCALLENAN DONALD F 2001 BRYAN STREET SUITE 1600 DALLAS, TX 75201 | | | SVP & General Counsel | |

Signatures

/s/ Donald F.
McAleenan

12/03/2015

**Signature of Reporting
Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This transaction was executed in multiple trades at prices ranging from \$13.11 to \$13.55 per share. The price reported above reflects the

(1) weighted average sale price. The reporting person hereby undertakes to provide full information regarding the number of shares and prices at which the transactions were effected upon request by the SEC staff, the issuer, or a security holder of the issuer.

This transaction was executed in multiple trades at prices ranging from \$13.27 to \$13.64 per share. The price reported above reflects the

(2) weighted average sale price. The reporting person hereby undertakes to provide full information regarding the number of shares and prices at which the transactions were effected upon request by the SEC staff, the issuer, or a security holder of the issuer.

(3) Award issued under the Company's 2007 Incentive Plan, as amended and restated on January 14, 2010.

(4) The option was granted on February 11, 2014 and vests in 25% increments on each of February 11, 2015-2018.

(5) The option was granted on February 3, 2010 and vested in 33.33% increments on each of February 3, 2012-2014.

(6) Award issued under the Company's 2005 Incentive Plan.

(7) The option was granted on May 22, 2008 and vested in 33.3% increments on each of February 26, 2009-2011

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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