

ALLIED MOTION TECHNOLOGIES INC

Form 4

March 03, 2017

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
WARZALA RICHARD S

(Last) (First) (Middle)

**ALLIED MOTION
TECHNOLOGIES INC., 495
COMMERCE DRIVE, SUITE 3**

(Street)

AMHERST, NY 14228

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
**ALLIED MOTION
TECHNOLOGIES INC [AMOT]**

3. Date of Earliest Transaction
(Month/Day/Year)
01/12/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☒ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below) Chief Executive Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/12/2017		F	(A) or (D) Amount (1) 1,068 Price \$ 21.32	1,021,939	D	
Common Stock	03/01/2017		D	(2) 5,733 D \$ 0	1,016,206	D	
Common Stock	03/01/2017		A	(3) 6,824 A \$ 0	1,023,030	D	
Common Stock	03/01/2017		A	(4) 13,650 A \$ 0	1,036,680	D	
					15,139	I	

Common
StockBy ESOP
Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

WARZALA RICHARD S
ALLIED MOTION TECHNOLOGIES INC.
495 COMMERCE DRIVE, SUITE 3
AMHERST, NY 14228

X X Chief Executive Officer

Signatures

Susan M. Chiarmonete, Attorney-in-Fact for Richard S.
Warzala

03/03/2017

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) On January 12, 2017, 2,004 restricted shares vested and the Reporting Person instructed the Company to withhold 1,068 shares of common stock to cover tax withholding obligations as permitted under the Company's 2007 Stock Incentive Plan, as amended.
- (2) Forfeiture of unearned performance shares previously granted pursuant to the Company's 2007 Stock Incentive Plan.

Edgar Filing: ALLIED MOTION TECHNOLOGIES INC - Form 4

- (3) Grant of restricted shares pursuant to the Company's 2007 Stock Incentive Plan. These restricted shares vest one-third each on March 31, 2018, 2019 and 2020.

- Grant of performance shares pursuant to the Company's 2007 Stock Incentive Plan. All or a portion of these STIP performance shares will
- (4) vest over a three-year period upon the satisfaction of certain performance goals established by the Compensation Committee for the year ending December 31, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.