

NEUBERGER BERMAN NEW YORK INTERMEDIATE MUNICIPAL FUND INC
Form N-Q

March 28, 2017

As filed with the Securities and Exchange Commission on March 28, 2017

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM N-Q

QUARTERLY SCHEDULE OF PORTFOLIO HOLDINGS OF
REGISTERED MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number: 811-21169

NEUBERGER BERMAN NEW YORK INTERMEDIATE MUNICIPAL FUND INC.

(Exact Name of Registrant as Specified in Charter)

c/o Neuberger Berman Investment Advisers LLC

1290 Avenue of the Americas

New York, New York 10104

(Address of Principal Executive Offices – Zip Code)

Registrant's telephone number, including area code: (212) 476-8800

Robert Conti, Chief Executive Officer and President

Neuberger Berman New York Intermediate Municipal Fund Inc.

c/o Neuberger Berman Investment Advisers LLC

1290 Avenue of the Americas

New York, New York 10104

Arthur C. Delibert, Esq.

K&L Gates LLP

1601 K Street, N.W.

Washington, D.C. 20006-1600

(Names and Addresses of Agents for Service)

Date of fiscal year end: October 31

Date of reporting period: January 31, 2017

Form N-Q is to be used by management investment companies, other than small business investment companies registered on Form N-5 (§§ 239.24 and 274.5 of this chapter), to file reports with the Commission, not later than 60 days after the close of the first and third fiscal quarters, pursuant to rule 30b1-5 under the Investment Company Act of 1940 ("1940 Act") (17 CFR 270.30b1-5). The Commission may use the information provided on Form N-Q in its regulatory, disclosure review, inspection, and policymaking roles.

A registrant is required to disclose the information specified by Form N-Q, and the Commission will make this information public. A registrant is not required to respond to the collection of information contained in Form N-Q unless the Form displays a currently valid Office of Management and Budget ("OMB") control number. Please direct comments concerning the accuracy of the information collection burden estimate and any suggestions for reducing the burden to the Secretary, Securities and Exchange Commission, 100 F Street, NE, Washington, DC 20549-0609. The OMB has reviewed this collection of information under the clearance requirements of 44 U.S.C. § 3507.

Item 1. Schedule of Investments.

Schedule of Investments New York Intermediate Municipal Fund Inc.

(Unaudited) January 31, 2017

| Principal Amount (000's omitted) | | Value (000's omitted) | † |
|--|---|-----------------------------|--------|
| Municipal Notes 165.4% | | | |
| American Samoa 0.7% | | | |
| \$500 | American Samoa Econ. Dev. Au. Gen. Rev. Ref., Ser. 2015-A, 6.25%, due 9/1/29 | \$475 | |
| California 6.2% | | | |
| 250 | California St. Poll. Ctrl. Fin. Au. Solid Waste Disp. Rev. (Aemerge Redpak Svcs. So. California LLC Proj.), Ser. 2016, 7.00%, due 12/1/27 | 239 | (a)(b) |
| 3,115 | Corona-Norca Unified Sch. Dist. G.O. Cap. Appreciation (Election 2006), Ser. 2009-C, (AGM Insured), 0.00%, due 8/1/24 | 2,509 | |
| 1,470 | Pico Rivera Pub. Fin. Au. Lease Rev., Ser. 2009, 4.75%, due 9/1/25 Pre-Refunded 9/1/19 | 1,599 | |
| | | 4,347 | |
| Georgia 0.7% | | | |
| 500 | Greene Co. Dev. Au. Swr. Fac. Rev., Ser. 2015, 6.13%, due 1/1/25 | 497 | (a)(b) |
| Guam 3.3% | | | |
| 1,135 | Guam Gov't Hotel Occupancy Tax Rev., Ser. 2011-A, 5.50%, due 11/1/19 | 1,224 | |
| 1,000 | Guam Gov't Waterworks Au. Wtr. & Wastewater Sys. Rev., Ser. 2010, 5.25%, due 7/1/25 | 1,072 | |
| | | 2,296 | |
| Illinois 1.4% | | | |
| 1,000 | Chicago G.O. Ref., Ser. 2003-B, 5.00%, due 1/1/23 | 999 | |
| Louisiana 0.8% | | | |
| 500 | Louisiana St. Pub. Facs. Au. Rev. (Southwest Louisiana Charter Academy Foundation Proj.), Ser. 2013-A, 7.63%, due 12/15/28 | 577 | (b) |
| Nevada 1.6% | | | |
| 1,000 | Las Vegas Redev. Agcy. Tax Increment Rev., Ser. 2009-A, 7.50%, due 6/15/23 Pre-Refunded 6/15/19 | 1,146 | |
| New York 142.2% | | | |
| 380 | Albany Cap. Res. Corp. Ref. Rev. (Albany College of Pharmacy & Hlth. Sciences) Ser. 2014-A, 5.00%, due 12/1/27 | 427 | |
| 375 | Ser. 2014-A, 5.00%, due 12/1/28 | 419 | |
| 270 | Ser. 2014-A, 5.00%, due 12/1/29 | 301 | |
| | Buffalo & Erie Co. Ind. Land Dev. Corp. Rev. Ref. (Orchard Park) | | |
| 500 | Ser. 2015, 5.00%, due 11/15/27 | 540 | |
| 500 | Ser. 2015, 5.00%, due 11/15/28 | 536 | |
| | Build NYC Res. Corp. Ref. Rev. (City Univ. - Queens College) | | |

| | | | |
|-------|---|-------|-----|
| 270 | Ser. 2014-A, 5.00%, due 6/1/26 | 317 | |
| 225 | Ser. 2014-A, 5.00%, due 6/1/29 | 262 | |
| | Build NYC Res. Corp. Ref. Rev. (Methodist Hosp. Proj.) | | |
| 250 | Ser. 2014, 5.00%, due 7/1/22 | 284 | |
| 500 | Ser. 2014, 5.00%, due 7/1/29 | 549 | |
| 250 | Build NYC Res. Corp. Ref. Rev. (New York Law Sch. Proj.), Ser. 2016, 4.00%, due 7/1/45 | 239 | |
| | Build NYC Res. Corp. Ref. Rev. (Packer Collegiate Institute Proj.) | | |
| 155 | Ser. 2015, 5.00%, due 6/1/26 | 179 | |
| 125 | Ser. 2015, 5.00%, due 6/1/27 | 144 | |
| 195 | Ser. 2015, 5.00%, due 6/1/28 | 222 | |
| 220 | Ser. 2015, 5.00%, due 6/1/29 | 250 | |
| 325 | Ser. 2015, 5.00%, due 6/1/30 | 367 | |
| 565 | Build NYC Res. Corp. Rev., Ser. 2014, 5.00%, due 11/1/24 | 581 | |
| 500 | Build NYC Res. Corp. Rev. (South Bronx Charter Sch. Int'l Cultures), Ser. 2013-A, 3.88%, due 4/15/23 | 500 | |
| | Build NYC Res. Corp. Solid Waste Disp. Ref. Rev. (Pratt Paper, Inc. Proj.) | | |
| 155 | Ser. 2014, 3.75%, due 1/1/20 | 158 | (a) |
| 200 | Ser. 2014, 4.50%, due 1/1/25 | 214 | (a) |
| | Dutchess Co. Local Dev. Corp. Rev. (Culinary Institute of America Proj.) | | |
| 200 | Ser. 2016-A-1, 5.00%, due 7/1/41 | 217 | |
| 275 | Ser. 2016-A-1, 5.00%, due 7/1/46 | 297 | |
| 1,000 | Dutchess Co. Local Dev. Corp. Rev. (Marist College Proj.), Ser. 2012-A, 5.00%, due 7/1/21 | 1,136 | |
| 1,050 | Erie Co. IDA Sch. Fac. Rev. (Buffalo City Sch. Dist.), Ser. 2009-A, 5.25%, due 5/1/25 Pre-Refunded 5/1/19 | 1,144 | |
| 1,270 | Geneva Dev. Corp. Rev. (Hobart & William Smith College Proj.), Ser. 2012, 5.00%, due 9/1/21 | 1,439 | |
| 1,500 | Hempstead Town Local Dev. Corp. Rev. (Molloy College Proj.), Ser. 2009, 5.75%, due 7/1/23 | 1,648 | |
| 580 | Islip, G.O., Ser. 2012, 3.00%, due 8/1/25 | 599 | |
| 640 | Jefferson Co. IDA Solid Waste Disp. Rev. (Green Bond), Ser. 2014, 4.75%, due 1/1/20 | 627 | (a) |
| 300 | Monroe Co. Ind. Dev. Corp. Rev. (Monroe Comm. College), Ser. 2014, (AGM Insured), 5.00%, due 1/15/29 | 338 | |
| | Monroe Co. Ind. Dev. Corp. Rev. (Nazareth College of Rochester Proj.) | | |
| 500 | Ser. 2013-A, 5.00%, due 10/1/24 | 563 | |
| 500 | Ser. 2013-A, 5.00%, due 10/1/25 | 559 | |
| 250 | Ser. 2013-A, 4.00%, due 10/1/26 | 258 | |
| | Monroe Co. Ind. Dev. Corp. Rev. (St. John Fisher College) | | |
| 1,120 | Ser. 2012-A, 5.00%, due 6/1/23 | 1,263 | |
| 210 | Ser. 2012-A, 5.00%, due 6/1/25 | 234 | |
| 1,265 | Montgomery Co. Cap. Res. Corp. Lease Ref. Rev. (HFM Boces Proj.), Ser. 2014, (MAC Insured), 5.00%, due 9/1/27 | 1,474 | |
| 500 | MTA Hudson Rail Yards Trust Oblig. Rev., Ser. 2016-A, 5.00%, due 11/15/46 | 533 | |
| 2,000 | Nassau Co. G.O. (Gen. Imp. Bonds), Ser. 2013-B, 5.00%, due 4/1/28 | 2,261 | |
| | Nassau Co. Local Econ. Assist. Corp. Rev. (Catholic Hlth. Svcs. of Long Island Obligated Group Proj.) | | |
| 500 | Ser. 2014, 5.00%, due 7/1/23 | 569 | |
| 1,000 | Ser. 2014, 5.00%, due 7/1/27 | 1,114 | |
| | New York City G.O. | | |

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| 950 | Ser. 2009-B, 5.00%, due 8/1/22 | 1,035 | |
| 1,000 | Ser. 2009-E, 5.00%, due 8/1/21 | 1,090 | |
| 1,500 | New York City Muni. Wtr. Fin. Au. Wtr. & Swr. Sys. Rev., Ser. 2007-BB-1, (LOC: Bank of Tokyo), 0.62%, due 6/15/36 | 1,500 | (c) |
| 500 | New York Liberty Dev. Corp. Ref. Rev. (3 World Trade Ctr. Proj.), Ser. 2014, 5.38%, due 11/15/40 | 538 | (a) |
| 2,000 | New York Liberty Dev. Corp. Rev. (Goldman Sachs Headquarters), Ser. 2005, 5.25%, due 10/1/35 | 2,379 | |
| 660 | New York Liberty Dev. Corp. Rev. (Nat'l Sports Museum Proj.), Ser. 2006-A, 6.13%, due 2/15/19 | 0 | (b)(d)(e) |
| 1,815 | New York St. Dorm. Au. Ref. Rev. Non St. Supported Debt (Pratt Institute), Ser. 2015-A, 3.00%, due 7/1/27 | 1,831 | |
| 780 | New York St. Dorm. Au. Rev. Non St. Supported Debt (Culinary Institute of America), Ser. 2013, 4.63%, due 7/1/25 | 855 | |
| 500 | New York St. Dorm. Au. Rev. Non St. Supported Debt (Manhattan Marymount College), Ser. 2009, 5.00%, due 7/1/24 | 530 | |
| 900 | New York St. Dorm. Au. Rev. Non St. Supported Debt (Montefiore Med. Ctr.), Ser. 2008, (FHA Insured), 5.00%, due 8/1/21 | 925 | |
| 1,595 | New York St. Dorm. Au. Rev. Non St. Supported Debt (Mount Sinai Sch. of Medicine), Ser. 2009, 5.25%, due 7/1/24 Pre-Refunded 7/1/19 | 1,747 | |
| 2,000 | New York St. Dorm. Au. Rev. Non St. Supported Debt (North Shore-Long Island Jewish Oblig. Group), Ser. 2011-A, 4.38%, due 5/1/26 | 2,106 | |
| 675 | New York St. Dorm. Au. Rev. Non St. Supported Debt (NYU Hosp. Ctr.), Ser. 2007-B, 5.25%, due 7/1/24 Pre-Refunded 7/1/17 | 687 | |
| 1,375 | New York St. Dorm. Au. Rev. Non St. Supported Debt (Rochester Institute of Technology), Ser. 2012, 4.00%, due 7/1/28 | 1,477 | |
| 2,000 | New York St. Dorm. Au. Rev. Non St. Supported Debt (St. John's Univ.), Ser. 2007-C, (National Public Finance Guarantee Corp. Insured), 5.25%, due 7/1/19 | 2,177 | |
| 600 | New York St. Dorm. Au. Rev. Non St. Supported Debt (St. Joseph's College), Ser. 2010, 5.25%, due 7/1/25 | 606 | |
| | New York St. Dorm. Au. Rev. Non St. Supported Debt (Touro College & Univ. Sys. Obligated Group) | | |
| 460 | Ser. 2014-A, 4.00%, due 1/1/26 | 454 | |
| 470 | Ser. 2014-A, 4.00%, due 1/1/27 | 460 | |
| 200 | Ser. 2014-A, 4.00%, due 1/1/28 | 194 | |
| 275 | Ser. 2014-A, 4.13%, due 1/1/29 | 266 | |
| 1,350 | New York St. Dorm. Au. Rev. Non St. Supported Debt (Univ. Facs.), Ser. 2013-A, 5.00%, due 7/1/28 | 1,542 | |
| 750 | New York St. Dorm. Au. Rev. Non St. Supported Debt (Vaughn College of Aeronautics & Technology), Ser. 2016, 5.00%, due 12/1/26 | 758 | (a) |
| 2,000 | New York St. Dorm. Au. Rev. St. Personal Income Tax Rev., Ser. 2012-A, 5.00%, due 12/15/26 | 2,325 | |
| 1,500 | New York St. Env. Fac. Corp. Solid Waste Disp. Rev. (Waste Management, Inc. Proj.), Ser. 2004-A, 2.75%, due 7/1/17 | 1,509 | |
| 2,000 | New York St. Env. Facs. Corp. (St. Clean Wtr. & Drinking), Ser. 2012-A, 4.00%, due 6/15/26 | 2,200 | |
| | New York St. HFA Rev. (Affordable Hsg.) | | |
| 1,615 | Ser. 2009-B, 4.50%, due 11/1/29 | 1,675 | |
| 960 | Ser. 2012-F, (SONYMA Insured), 3.05%, due 11/1/27 | 964 | |
| 1,045 | New York St. Mtge. Agcy. Homeowner Mtge. Ref. Rev., Ser. 2014-189, 3.45%, due 4/1/27 | 1,047 | |

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| | New York St. Muni. Bond Bank Agcy. | | |
| 1,230 | Subser. 2009-B1, 5.00%, due 12/15/23 | 1,356 | |
| 1,295 | Subser. 2009-B1, 5.00%, due 12/15/24 | 1,427 | |
| | New York St. Thruway Au. Second Gen. Hwy. & Bridge Trust Fund Bonds | | |
| 1,000 | Ser. 2007-B, 5.00%, due 4/1/20 Pre-Refunded 10/1/17 | 1,027 | |
| 1,090 | Ser. 2009-B, 5.00%, due 4/1/19 | 1,178 | |
| 1,500 | New York St. Trans. Dev. Corp. Spec. Fac. Ref. Rev. (American Airlines, Inc.-John F Kennedy Int'l Arpt. Proj.), Ser. 2016, 5.00%, due 8/1/31 | 1,555 | |
| 1,000 | New York St. Trans. Dev. Corp. Spec. Fac. Ref. Rev. (LaGuardia Arpt. Term B Redev. Proj.), Ser. 2016-A, 4.00%, due 7/1/41 | 916 | |
| 1,250 | New York St. Urban Dev. Corp. Ref. Rev., Ser. 2008-D, 5.25%, due 1/1/20 | 1,341 | |
| | New York St. Urban Dev. Corp. Rev. (St. Personal Income Tax) | | |
| 665 | Ser. 2008-A-1, 5.00%, due 12/15/23 Pre-Refunded 12/15/17 | 688 | |
| 300 | Ser. 2008-A-1, 5.00%, due 12/15/23 | 310 | |
| 785 | Newburgh, G.O., Ser. 2012-A, 5.00%, due 6/15/22 | 882 | |
| 900 | Niagara Area Dev. Corp. Rev. (Covanta Energy Proj.), Ser. 2012, 4.00%, due 11/1/24 | 904 | (a) |
| | Niagara Area Dev. Corp. Rev. (Niagara Univ. Proj.) | | |
| 640 | Ser. 2012-A, 5.00%, due 5/1/25 | 703 | |
| 300 | Ser. 2012-A, 5.00%, due 5/1/26 | 328 | |
| 1,100 | Niagara Falls City Sch. Dist. Ref. Cert. Participation (High Sch. Fac.), Ser. 2015, (AGM Insured), 4.00%, due 6/15/26 | 1,178 | |
| 1,010 | Onondaga Civic Dev. Corp. Ref. Rev., Ser. 2015, 5.00%, due 10/1/29 | 1,123 | |
| 500 | Onondaga Civic Dev. Corp. Rev. (St. Josephs Hosp. Hlth. Ctr. Proj.), Ser. 2014-A, 5.00%, due 7/1/25 Pre-Refunded 7/1/19 | 544 | |
| 1,000 | Onondaga Co. Trust Cultural Res. Rev. (Syracuse Univ. Proj.), Ser. 2010-B, 5.00%, due 12/1/19 | 1,102 | |
| | Oyster Bay, G.O. | | |
| 1,500 | Ser. 2014, (AGM Insured), 3.25%, due 8/1/21 | 1,546 | |
| 300 | Ser. 2016, 3.75%, due 3/31/17 | 301 | |
| 3,000 | Port Au. New York & New Jersey Cons. Bonds, Ser. 2012-175, 3.00%, due 12/1/27 | 3,048 | |
| 1,000 | Saratoga Co. IDA Civic Fac. Rev. (Saratoga Hosp. Proj.), Ser. 2007-B, 5.00%, due 12/1/22 Pre-Refunded 12/1/17 | 1,034 | |
| 1,410 | St. Lawrence Co. IDA Civic Dev. Corp. Rev. (St. Lawrence Univ. Proj.), Ser. 2012, 5.00%, due 7/1/28 | 1,602 | |
| 1,980 | Suffolk Co. Judicial Facs. Agcy. Lease Rev. (H. Lee Dennison Bldg.), Ser. 2013, 5.00%, due 11/1/25 | 2,212 | |
| 1,000 | Syracuse IDA (Carousel Ctr. Proj.), Ser. 2016-A, 5.00%, due 1/1/31 | 1,103 | |
| 190 | Triborough Bridge & Tunnel Au. Oblig., Ser. 1998-A, (National Public Finance Guarantee Corp. Insured), 4.75%, due 1/1/24 | 195 | |
| | Triborough Bridge & Tunnel Au. Rev. | | |
| 1,500 | Subser. 2005-B-2, (LOC: Wells Fargo Bank NA), 0.60%, due 1/1/32 | 1,500 | (c) |
| 1,000 | Subser. 2008-D, 5.00%, due 11/15/23 Pre-Refunded 11/15/18 | 1,068 | |
| 765 | Subser. 2008-D, 5.00%, due 11/15/23 | 816 | |
| 3,000 | TSASC Inc. Rev. Ref., Ser. 2017-A, 5.00%, due 6/1/41 | 3,204 | |
| 580 | TSASC Inc. Rev. Rev., Ser. 2017-A, 5.00%, due 6/1/28 | 659 | |
| 1,405 | United Nations Dev. Corp. Rev., Ser. 2009-A, 5.00%, due 7/1/22 | 1,521 | |
| 3,000 | Utility Debt Securitization Au. Rev., Ser. 2013-TE, 5.00%, due 12/15/28 | 3,539 | |
| 1,000 | Westchester Co. Local Dev. Corp. Ref. Rev. (Wartburg Sr. Hsg. Proj.), Ser. 2015-A, 5.00%, due 6/1/30 | 977 | (a) |
| | Westchester Co. Local Dev. Corp. Ref. Rev. (Westchester Med. Ctr.) | | |
| 825 | Ser. 2016, 5.00%, due 11/1/30 | 897 | |

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| 1,000 | Ser. 2016, 3.75%, due 11/1/37 | 890 | |
| 1,350 | Westchester Co. Local Dev. Corp. Rev. (Kendal on Hudson Proj.), Ser. 2013, 5.00%, due 1/1/28 | 1,448 | |
| | | 99,761 | |
| Ohio 0.6% | | | |
| 500 | Buckeye Tobacco Settlement Fin. Au. Asset-Backed Sr. Rev. (Turbo), Ser. 2007-A-2, 5.88%, due 6/1/47 | 457 | |
| Pennsylvania 3.3% | | | |
| | Pennsylvania St. Turnpike Commission Rev. | | |
| 285 | Ser. 2010-B2, 6.00%, due 12/1/34 Pre-Refunded 12/1/20 | 333 | |
| 305 | Subser. 2010-B2, 6.00%, due 12/1/34 Pre-Refunded 12/1/20 | 356 | |
| 1,410 | Subser. 2010-B2, 6.00%, due 12/1/34 | 1,601 | |
| | | 2,290 | |
| Puerto Rico 3.1% | | | |
| 2,000 | Puerto Rico Commonwealth Ref. G.O. (Pub. Imp.), Ser. 2001-A, (National Public Finance Guarantee Corp. Insured), 5.50%, due 7/1/20 | 2,153 | |
| Texas 0.5% | | | |
| 400 | Mission Econ. Dev. Corp. Wtr. Sply. Rev. (Green Bond-Env. Wtr. Minerals Proj.), Ser. 2015, 7.75%, due 1/1/45 | 375 | (a)(b) |
| Virgin Islands 1.0% | | | |
| | Virgin Islands Pub. Fin. Au. Rev. | | |
| 250 | Ser. 2014-A, 5.00%, due 10/1/24 | 178 | |
| 500 | Ser. 2014-A, 5.00%, due 10/1/29 | 350 | |
| 200 | Virgin Islands Pub. Fin. Au. Rev. (Matching Fund Loan-Diageo), Ser. 2009-A, 6.63%, due 10/1/29 | 155 | |