

Handy John K
Form 3
February 09, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Handy John K
(Last) (First) (Middle)

8000 FORSYTH BLVD
(Street)

ST. LOUIS, MO 63105

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
02/01/2018

3. Issuer Name and Ticker or Trading Symbol

COMMERCE BANCSHARES INC /MO/ [CBSH]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Executive Vice President

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

40,796

D

Handy

Common Stock

16,125

I

401(k)

Common Stock

6,779

I

By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Stock Appreciation Rights	04/17/2014 ⁽¹⁾	04/17/2023	Common Stock	864	\$ 30.5731	D	Â
Stock Appreciation Rights	03/05/2015 ⁽²⁾	03/05/2024	Common Stock	2,555	\$ 36.6185	D	Â
Stock Appreciation Rights	03/03/2016 ⁽³⁾	03/03/2025	Common Stock	3,224	\$ 36.2121	D	Â
Stock Appreciation Rights	03/01/2017 ⁽⁴⁾	03/01/2026	Common Stock	3,004	\$ 39.8276	D	Â
Stock Appreciation Rights	03/02/2018 ⁽⁵⁾	03/02/2027	Common Stock	2,032	\$ 56.0095	D	Â
Stock Appreciation Rights	01/24/2019 ⁽⁶⁾	01/24/2028	Common Stock	3,963	\$ 58.95	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Handy John K 8000 FORSYTH BLVD ST. LOUIS, MO 63105	Â	Â	Â Executive Vice President	Â

Signatures

/s/ By: Jeffery D. Aberdeen For: John K.
Handy 02/08/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) This stock appreciation right vests in four equal annual installments beginning on April 17, 2014.
- (2) The stock appreciation rights vest in four equal annual installments beginning on March 5, 2015.
- (3) The stock appreciation rights vest in four equal annual installments beginning on March 3, 2016.
- (4) The stock appreciation rights vest in four equal annual installments beginning on March 1, 2017.
- (5) This stock appreciation right vests in four equal annual installments beginning on March 2, 2018.
- (6) The stock appreciation right vests in four equal annual installments beginning January 24, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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