Hartwig David Form 4 November 15, 2018

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

may continue. See Instruction

1(b).

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obligations

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Hartwig David

2. Issuer Name and Ticker or Trading Symbol

On Deck Capital, Inc. [ONDK]

(Last) (First) (Middle)

(Street)

3. Date of Earliest Transaction

(Month/Day/Year) 11/13/2018

C/O SAPPHIRE VENTURES, 3408

\_ Other (specify Officer (give title below)

5. Relationship of Reporting Person(s) to

(Check all applicable)

10% Owner

**OMB APPROVAL** 

Expires:

response...

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

6. Individual or Joint/Group Filing(Check Applicable Line)

4. If Amendment, Date Original Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Issuer

\_X\_\_ Director

PALO ALTO, CA 94304

HILLVIEW AVENUE

(City)	(State)	(Zip) Tab	ole I - Non-	Derivative S	Securi	ties Acquii	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transactiom Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	11/13/2018		S	104,925	D	\$ 8.3028 (1)	3,685,978	I	See footnote (2)
Common Stock	11/14/2018		S	900	D	\$ 8.2364 (3)	3,685,078	I	See footnote (2)
Common Stock							286,596	I	See footnote (4)
Common Stock							63,283 (5)	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

**SEC 1474** (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exerc		7. Titl		8. Price of	9. Nu
	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration D	ate	Amou	ınt of	Derivative	Deriv
	Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secu
	(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ities	(Instr. 5)	Bene
		Derivative				Securities	1		(Instr.	3 and 4)		Own
		Security				Acquired						Follo
						(A) or						Repo
						Disposed						Trans
						of (D)						(Instr
						(Instr. 3,						
						4, and 5)						
										Amount		
						Date	Expiration	Or Title Neverber				
							Exercisable	Date		Number		
					C 1 W	(A) (D)				of		
					Code V	(A) (D)				Shares		

# **Reporting Owners**

•					
0% Owner	Officer	Other			
0	% Owner	% Owner Officer			

Hartwig David C/O SAPPHIRE VENTURES 3408 HILLVIEW AVENUE PALO ALTO, CA 94304



### **Signatures**

/s/ David

11/15/2018 Hartwig

\*\*Signature of Reporting Person

# **Explanation of Responses:**

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Relationships

- Represents the weighted average price for 104,925 shares sold within the range of \$8.20 to \$8.41. Information regarding the number of shares sold at each separate price will be made available from the reporting person upon request by the SEC, the issuer or its shareowners.
- (2) The reported shares are held of record by Sapphire Ventures Fund I, LP ("Sapphire Ventures LP"). The Reporting Person is a managing member of Sapphire Ventures (GPE) I, L.L.C. ("Sapphire GP") and may be deemed to have an indirect pecuniary interest in such shares through his interest in Sapphire GP. His exact pecuniary interest therein is not readily determinable because it is subject to several variables, including without limitation, the rates of return of Sapphire Ventures LP overall with respect to its indirect investment in the Issuer. The Reporting Person disclaims beneficial ownership of the securities reported herein, except to the extent of his pecuniary

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interest therein.

- (3) Represents the weighted average price for 900 shares sold within the range of \$8.21 to \$8.27. Information regarding the number of shares sold at each separate price will be made available from the reporting person upon request by the SEC, the issuer or its shareowners.
  - The reported shares are held of record by Sapphire GP. The Reporting Person is a managing member of Sapphire GP and may be deemed to have an indirect pecuniary interest in such shares through his interest in the Sapphire GP. His exact pecuniary interest therein is not
- (4) readily determinable because it is subject to several variables, including without limitation, the rates of return of Sapphire Ventures LP overall with respect to its indirect investment in the Issuer. The Reporting Person disclaims beneficial ownership of the securities reported herein, except to the extent of his pecuniary interest therein.
- (5) This number differs from the previously disclosed number by 30 shares. The discrepancy was caused by a typographical error in a previous filing.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.