Edgar Filing: On Deck Capital Inc - Form 4

On Deck Capital Inc

Form 4	0 0014										
December 2											
FORM	4 UNITED	STATES					GE CO	OMMISSION	OMB AF OMB Number:	PROVAL 3235-0287	
Check this box Sanuar											
(Print or Type)	Responses)										
1. Name and Address of Reporting Person <u>*</u> Institutional Venture Management XIII, LLC			2. Issuer Name and Ticker or Trading Symbol On Deck Capital Inc [ONDK]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (I	Middle)	3. Date of Earliest Transaction (Chec					(Check	ek all applicable)		
C/O INSTITUTIONAL VENTURE PARTNERS, 3000 SAND HILL ROAD, SUITE 250			(Month/Day/Year) 12/22/2014				- - b	Director _X_ 10% Owner Officer (give title _Other (specify below)			
Filed(Month/Day/Year) Applicable Line) Form filed by Form filed by Form filed by Form filed by					Applicable Line) Form filed by On	oint/Group Filing(Check One Reporting Person More than One Reporting					
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative Sec	urities		red, Disposed of,	or Beneficiall	v Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deem Execution any (Month/D	ned 1 Date, if	3.		Acquir f (D)	_	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	12/22/2014			C	4,051,138	A	$\frac{(1)}{(2)}$	4,051,138	I	See footnote (3)	
Common Stock	12/22/2014			С	4,051,138	A	$\frac{(1)}{(2)}$	4,051,138	Ι	See footnote (4)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	 4. 5. Number of TransactiorDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) 		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Series D Preferred Stock	<u>(1)</u>	12/22/2014		С		3,847,194	<u>(1)</u>	<u>(1)</u>	Common Stock	3,847,19
Series E Preferred Stock	(2)	12/22/2014		С		203,944	(2)	(2)	Common Stock	203,944
Series D Preferred Stock	<u>(1)</u>	12/22/2014		С		3,847,194	<u>(1)</u>	<u>(1)</u>	Common Stock	3,847,19
Series E Preferred Stock	(2)	12/22/2014		C		203,944	(2)	(2)	Common Stock	203,944

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Institutional Venture Management XIII, LLC C/O INSTITUTIONAL VENTURE PARTNERS 3000 SAND HILL ROAD, SUITE 250 MENLO PARK, CA 94025		Х				
Institutional Venture Partners XIII, L.P. C/O INSTITUTIONAL VENTURE PARTNERS 3000 SAND HILL ROAD, SUITE 250 MENLO PARK, CA 94025		Х				
Institutional Venture Management XIV, LLC C/O INSTITUTIONAL VENTURE PARTNERS 3000 SAND HILL ROAD, SUITE 250 MENLO PARK, CA 94025		Х				
Institutional Venture Partners XIV, L.P. C/O INSTITUTIONAL VENTURE PARTNERS 3000 SAND HILL ROAD, SUITE 250 MENLO PARK, CA 94025		Х				

Chaffee Todd C C/O INSTITUTIONAL VENTURE PARTNERS 3000 SAND HILL ROAD, SUITE 250 MENLO PARK, CA 94025	Х	
FOGELSONG NORMAN A C/O INSTITUTIONAL VENTURE PARTNERS 3000 SAND HILL ROAD, SUITE 250 MENLO PARK, CA 94025	Х	
Harrick Stephen J C/O INSTITUTIONAL VENTURE PARTNERS 3000 SAND HILL ROAD, SUITE 250 MENLO PARK, CA 94025	Х	
Phelps Dennis B C/O INSTITUTIONAL VENTURE PARTNERS 3000 SAND HILL ROAD, SUITE 250 MENLO PARK, CA 94025	Х	
Maltz Jules A. C/O INSTITUTIONAL VENTURE PARTNERS 3000 SAND HILL ROAD, SUITE 250 MENLO PARK, CA 94025	Х	
Signatures		
/s/ Melanie Chladek by power of attorney for Instit	tutional Venture Management XIII, LLC	12/2

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Series D Preferred Stock automatically converted into Common Stock on a one for one basis immediately prior to the completion of the Issuer's initial public offering of common stock and had no expiration date.
- (2) The Series E Preferred Stock automatically converted into Common Stock on a one for one basis immediately prior to the completion of the Issuer's initial public offering of common stock and had no expiration date.

The shares are held of record by Institutional Venture Partners XIII, L.P. (IVP XIII). Institutional Venture Management XIII LLC (IVM XIII) is the general partner of IVP XIII. Todd C. Chaffee, Norman A. Fogelsong, Stephen J. Harrick, J. Sanford Miller and Dennis B.

(3) Phelps, as the managing directors of IVM XIII, share voting and dispositive power with respect to the shares held by IVP XIII. Each of these reporting individuals disclaims beneficial ownership of the securities reported herein, except to the extent of his respective pecuniary interest therein.

The shares are held of record by Institutional Venture Partners XIV, L.P. (IVP XIV). Institutional Venture Management XIV LLC (IVM XIV) is the general partner of IVP XIV. Messrs. Chaffee, Fogelsong, Harrick, Miller, Phelps and Jules A. Maltz, as the managing

(4) ATV is the general particle of TVT ATV. Messis, Charlee, regelsiong, marrier, melps and dues A. Marz, as the managing directors of IVP XIV, share voting and dispositive power with respect to the shares held by IVP XIV. Each of these reporting individuals disclaims beneficial ownership of the securities reported herein, except to the extent of his respective pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.