

GORMAN JEFFREY S
Form 5
February 08, 2019

FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
GORMAN JEFFREY S

(Last) (First) (Middle)

2. Issuer Name and Ticker or Trading Symbol
GORMAN RUPP CO [GRC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
President & CEO

THE GORMAN-RUPP COMPANY, 600 SOUTH AIRPORT ROAD

(Street)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2018

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

MANSFIELD, OH 44903

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock (401-K Plan)	03/31/2018	Â	J ⁽¹⁾	251 A \$ 29.25	59,192	I	By 401-K Trust
Common Stock (401-K)	06/30/2018	Â	J ⁽¹⁾	126 A \$ 35	59,318	I	By 401-K Trust

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Plan)									
Common Stock (401-K Plan)	09/30/2018	Â	J ⁽¹⁾	170	A	\$ 36.5	59,488	I	By 401-K Trust
Common Stock (401-K Plan)	12/31/2018	Â	J ⁽¹⁾	3,921	A	\$ 32.41	63,409	I	By 401-K Trust
Common Stock	01/09/2018	Â	G	1,395	A	\$ 0	754,701	I	By family ⁽²⁾
Common Stock	08/16/2018	Â	G	400	A	\$ 0	755,101	I	By family ⁽³⁾
Common Stock	08/22/2018	Â	G	800	A	\$ 0	755,901	I	By family ⁽⁴⁾
Common Stock	12/12/2018	Â	J ⁽⁵⁾	75	A	\$ 34.2	755,976	I	By family ⁽⁶⁾
Common Stock	01/09/2018	Â	G	465	A	\$ 0	641,671	I	By Jeffrey S. Gorman Trust (BR) ⁽⁷⁾
Common Stock	01/09/2018	Â	G	465	A	\$ 0	123,731	I	By Michele S. Gorman Trust (BR) ⁽⁸⁾
Common Stock	01/09/2018	Â	G	465	D	\$ 0	10,626	I	By Jeffrey S. Gorman Trust (ML) ⁽⁷⁾
Common Stock	08/22/2018	Â	G	400	D	\$ 0	10,226	I	By Jeffrey S. Gorman Trust (ML) ⁽⁷⁾
Common Stock	01/09/2018	Â	G	465	D	\$ 0	10,506	I	By Michele S. Gorman Trust (ML) ⁽⁸⁾
Common Stock	08/22/2018	Â	G	400	D	\$ 0	10,106	I	By Michele S. Gorman Trust (ML) ⁽⁸⁾
Common Stock	Â	Â	Â	Â	Â	Â	125,000	I	By 2011 Jeffrey S. Gorman

Trust
(Mechanics)
(7)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. of D S B O E I F (I
						Date Exercisable (A) (D)	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GORMAN JEFFREY S THE GORMAN-RUPP COMPANY 600 SOUTH AIRPORT ROAD MANSFIELD, OH 44903	Ã X	Ã	Ã President & CEO	Ã

Signatures

Jeffrey S. Gorman BY: /s/Brigette A. Burnell
Attorney-in-Fact
02/08/2019

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares acquired under GRC 401(k) Plan.
- (2) Includes 637,880 shares owned by his children and 116,821 shares held in trust in which Mr. Gorman has a beneficial interest. Mr. Gorman disclaims beneficial ownership of all the shares referred to in this footnote.
- (3) Includes 638,280 shares owned by his children and 116,821 shares held in trust in which Mr. Gorman has a beneficial interest. Mr. Gorman disclaims beneficial ownership of all the shares referred to in this footnote.

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- (4) Includes 639,080 shares owned by his children and 116,821 shares held in trust in which Mr. Gorman has a beneficial interest. Mr. Gorman disclaims beneficial ownership of all the shares referred to in this footnote.
- (5) Shares acquired through dividend reinvestment.
- (6) Includes 639,155 shares owned by his children and 116,821 shares held in trust in which Mr. Gorman has a beneficial interest. Mr. Gorman disclaims beneficial ownership of all the shares referred to in this footnote.
- (7) Shares held by the Jeffrey S. Gorman Trust (a revocable trust of which Jeffrey S. Gorman is sole trustee) for estate planning purposes.
- (8) Shares held by the Michele S. Gorman Trust (a revocable trust of which Mr. Gorman's wife is sole trustee) for estate planning purposes.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.