

CARLSON THOMAS J  
Form 4  
November 28, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
CARLSON THOMAS J

2. Issuer Name and Ticker or Trading Symbol  
GREAT SOUTHERN BANCORP, INC. [GSBC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
11/28/2018

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  
\_\_\_\_ 10% Owner  
\_\_\_\_ Other (specify below)

CARE OF GREAT SOUTHERN BANK, 218 S. GLENSTONE AVE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

SPRINGFIELD, MO 65802

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code V	Amount	(A) or (D)	Price
Common stock					100	D	
Common stock	10/16/2018		J <sup>(1)</sup>	V 84 A	\$ 51.5078	I	Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474 (9-02)

Edgar Filing: CARLSON THOMAS J - Form 4

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Option to purchase	\$ 55	11/28/2018		A	500	11/28/2020 11/28/2028	Common stock	500
Option to purchase	\$ 55	11/28/2018		A	500	11/28/2021 11/28/2028	Common stock	500
Option to purchase	\$ 55	11/28/2018		A	500	11/28/2022 11/28/2028	Common stock	500
Option to purchase	\$ 55	11/28/2018		A	500	11/28/2023 11/28/2028	Common stock	500
Option to purchase	\$ 32.59					(2) 10/15/2024	Common stock	5,000
Option to purchase	\$ 41.3					(3) 10/24/2026	Common Stock	2,000
Option to purchase	\$ 52.2					(4) 11/15/2027	Common stock	2,000

## Reporting Owners

**Reporting Owner Name / Address**

**Relationships**

Director 10% Owner Officer Other

CARLSON THOMAS J  
CARE OF GREAT SOUTHERN BANK  
218 S. GLENSTONE AVE  
SPRINGFIELD, MO 65802

## Signatures

Matt Snyder, Attorney-in-fact for Thomas J. Carlson

11/28/2018

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) DRIP acquisition exempt from Section 16 reporting being reported voluntarily.

(2) 1,250 shares vest on 10/15/2016, 10/15/2017, 10/15/2018 and 10/15/2019

(3) 500 shares vest on 10/24/2018, 10/24/2019, 10/24/2020 and 10/24/2021

(4) 500 shares on 11/15/2019, 11/15/2020, 11/15/2021 and 11/15/2022

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.