

TRANSACT TECHNOLOGIES INC
Form 4
November 25, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Hoffman Andrew John

2. Issuer Name and Ticker or Trading Symbol
TRANSACT TECHNOLOGIES INC [TACT]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
20 BOMAX DRIVE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
11/21/2013

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
SVP, Operations

ITHACA, NY 14850

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount or Price | | |
| Common Stock | 11/21/2013 | | M | | 1,400 A \$ 5.24 | 13,900 | D |
| Common Stock | 11/21/2013 | | S | | 1,400 D \$ 12.9 (1) | 12,500 | D |
| Common Stock | 11/22/2013 | | M | | 608 A \$ 5.24 | 13,108 | D |
| Common Stock | 11/22/2013 | | M | | 3,750 A \$ 7.45 | 16,858 | D |
| Common Stock | 11/22/2013 | | S | | 4,358 D \$ (2) | 12,500 | D |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Stock Option | \$ 5.24 | 11/21/2013 | | M | 1,400 | 02/27/2009 02/27/2018 | Common Stock | 1,400 |
| Stock Option | \$ 5.24 | 11/22/2013 | | M | 608 | 02/27/2009 02/27/2018 | Common Stock | 608 |
| Stock Option | \$ 7.45 | 11/22/2013 | | M | 3,750 | 05/27/2011 05/27/2020 | Common Stock | 3,750 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-----------------|-------|
| | Director | 10% Owner | Officer | Other |
| Hoffman Andrew John 20 BOMAX DRIVE ITHACA, NY 14850 | | | SVP, Operations | |

Signatures

Steven A. DeMartino,
Attorney-In-Fact

11/25/2013

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents the sale of 1,400 shares in 17 separate transactions, ranging from \$12.83 to \$12.95 per share, resulting in a weighted average sale price per share of \$12.90. For all transactions reported in this Form 4 utilizing a weighted average price, the reporting person undertakes to provide upon request by the SEC staff, the Issuer, or a security holder of the Issuer, full information regarding the number

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of shares sold at each separate price within the range.

- (2) Represents the sale of 4,358 shares in 45 separate transactions, ranging from \$12.53 to \$12.64 per share, resulting in a weighted average sale price per share of \$12.59. For all transactions reported in this Form 4 utilizing a weighted average price, the reporting person undertakes to provide upon request by the SEC staff, the Issuer, or a security holder of the Issuer, full information regarding the number of shares sold at each separate price within the range.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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