

CARVER BANCORP INC  
Form 8-K/A  
August 29, 2016

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

FORM 8-K/A

CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(d) OF THE  
SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): July 15, 2016

CARVER BANCORP, INC.  
(Exact Name of Registrant as Specified in its Charter)

|   |                                    |   |
|---|------------------------------------|---|
| Delaware<br>(State or Other Jurisdiction<br>of Incorporation) | 001-13007<br>(Commission File No.) | 13-3904174<br>(I.R.S. Employer<br>Identification No.) |
|---|------------------------------------|---|

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|--|--------------------------|
| 75 West 125th Street, New York, NY<br>(Address of Principal Executive Offices) | 10027-4512<br>(Zip Code) |
|--|--------------------------|

Registrant's telephone number, including area code: (212) 360-8820

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 3.01 Notice of Delisting or Failure to Satisfy a Continued Listing Rule or Standard; Transfer of Listing.

On July 15, 2016, Carver Bancorp, Inc. (the “Company”) received a letter from the Listing Qualifications Department of The Nasdaq Stock Market LLC (“Nasdaq”) stating that Nasdaq determined that the Company was not in compliance with Nasdaq Listing Rule 5350(c)(1) due to the Company’s failure to timely file its Annual Report on Form 10-K for the year ended March 31, 2016 with the Securities and Exchange Commission.

The Company subsequently filed its Annual Report on Form 10-K for the year ended March 31, 2016 with the Securities and Exchange Commission on August 12, 2016.

On August 24, 2016, the Company was notified by Nasdaq that it is now in compliance with the requirements for continued listing on The Nasdaq Capital Market.

Item 9.01 Financial Statements and Exhibits.

- (a) Financial statements of businesses acquired. Not Applicable.
  - (b) Pro forma financial information. Not Applicable.
  - (c) Shell company transactions: Not Applicable.
  - (d) Exhibits. None.
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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned, hereunto duly authorized.

CARVER BANCORP, INC.

DATE: August 29, 2016

By: /s/ Michael T. Pugh  
Michael T. Pugh  
President and Chief Executive Officer