

SCHOTTENSTEIN ROBERT H
Form 4
May 02, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
SCHOTTENSTEIN ROBERT H

2. Issuer Name and Ticker or Trading Symbol
M I HOMES INC [MHO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
04/30/2018

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman, CEO & President

3 EASTON OVAL

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

COLUMBUS, OH 43219

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| | | | | (A) or (D) | Price | | |
| Common Shares | 04/30/2018 | | S | 100 | D \$ 31.16 | 78,517 | D ⁽¹⁾ |
| Common Shares | 04/30/2018 | | S | 100 | D \$ 31.22 | 78,417 | D ⁽¹⁾ |
| Common Shares | 04/30/2018 | | S | 100 | D \$ 31.225 | 78,317 | D ⁽¹⁾ |
| Common Shares | 04/30/2018 | | S | 100 | D \$ 31.23 | 78,217 | D ⁽¹⁾ |
| Common Shares | 04/30/2018 | | S | 100 | D \$ 31.245 | 78,117 | D ⁽¹⁾ |

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| | | | | | | | |
|---------------|------------|---|-----|---|-----------|--------|------------------|
| Common Shares | 04/30/2018 | S | 100 | D | \$ 31.325 | 78,017 | D ⁽¹⁾ |
| Common Shares | 04/30/2018 | S | 100 | D | \$ 31.375 | 77,917 | D ⁽¹⁾ |
| Common Shares | 04/30/2018 | S | 100 | D | \$ 31.41 | 77,817 | D ⁽¹⁾ |
| Common Shares | 04/30/2018 | S | 100 | D | \$ 31.44 | 77,717 | D ⁽¹⁾ |
| Common Shares | 04/30/2018 | S | 100 | D | \$ 31.5 | 77,617 | D ⁽¹⁾ |
| Common Shares | 04/30/2018 | S | 200 | D | \$ 31.51 | 77,417 | D ⁽¹⁾ |
| Common Shares | 04/30/2018 | S | 100 | D | \$ 31.515 | 77,317 | D ⁽¹⁾ |
| Common Shares | 04/30/2018 | S | 700 | D | \$ 31.52 | 76,617 | D ⁽¹⁾ |
| Common Shares | 04/30/2018 | S | 310 | D | \$ 31.53 | 76,307 | D ⁽¹⁾ |
| Common Shares | 04/30/2018 | S | 100 | D | \$ 31.545 | 76,207 | D ⁽¹⁾ |
| Common Shares | 04/30/2018 | S | 100 | D | \$ 31.55 | 76,107 | D ⁽¹⁾ |
| Common Shares | 04/30/2018 | S | 100 | D | \$ 31.635 | 76,007 | D ⁽¹⁾ |
| Common Shares | 04/30/2018 | S | 100 | D | \$ 31.64 | 75,907 | D ⁽¹⁾ |
| Common Shares | 04/30/2018 | S | 200 | D | \$ 31.66 | 75,707 | D ⁽¹⁾ |
| Common Shares | 04/30/2018 | S | 100 | D | \$ 31.72 | 75,607 | D ⁽¹⁾ |
| Common Shares | 04/30/2018 | S | 100 | D | \$ 31.76 | 75,507 | D ⁽¹⁾ |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Transaction (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| SCHOTTENSTEIN ROBERT H 3 EASTON OVAL COLUMBUS, OH 43219 | X | | Chairman, CEO & President | |

Signatures

/s/Phillip G. Creek, attorney-in-fact for Robert H. Schottenstein 05/02/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person also indirectly owns 485,400 common shares as sole member of IES Family Holdings No. 2, LLC, an Ohio limited liability company. The spouse of the reporting person beneficially owns 10,000 common shares of which the reporting person disclaims beneficial ownership, and this report shall not be deemed as admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.