Edgar Filing: TIAN EDWARD - Form 4

| TIAN EDV Form 4 | WARD | | | | | | | | | | | | |
|--|--|---|---|---|---------------|-------------|-----------------------|--|---|--|--|--|--|
| May 09, 20 | | | | | | | | | 0140 | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION | | | | | | | | APPROVAL | | | | | |
| Washington, D.C. 20549 | | | | | | | Number: | 3235-0287 | | | | | |
| Check this box if no longer subject to Section 16. Form 4 or | | | F CHANGES IN BENEFICIAL OWNERS SECURITIES | | | | | WNERSHIP OF | burden h | • | | | |
| Form 5 obligat may co | Filed pu | (a) of the l | Public 1 | | ling | Compa | any Act | nge Act of 1934, of 1935 or Sectio 940 | response on | 0.5 | | | |
| (Print or Type | e Responses) | | | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> TIAN EDWARD | | | 2. Issuer Name and Ticker or Trading Symbol ASIAINFO-LINKAGE, INC [ASIA] | | | | - | 5. Relationship of Reporting Person(s) to Issuer | | | | | |
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction | | | | . [| (Che | (Check all applicable) | | | | |
| GARDEN | 98, BEIJING RIVI , NO. 1 XIANGJI CHAOYANG DI | ANG | (Month 05/05/ | /Day/Year) /2011 | | | | X Director Officer (give below) | | 0% Owner 0ther (specify | | | |
| (Street) BEIJING, F4 100103 | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | Applicable Line) _X_ Form filed by | _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | |
| (City) | (State) | (Zip) | Та | ble I - Non-D | eriv | ative Sec | urities A | cquired, Disposed o | f. or Benefic | ially Owned | | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deeme Execution I any (Month/Day | d Date, if | 3. Transaction Code (Instr. 8) | 4 ((((| I. Securiti | es Acquin posed of | red 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| Common | | | | | | | | 3,037,245 | D | | | | |
| Stock Common Stock | | | | | | | | 2,087,704 | I | Shares held by Edward Tian's wife, Jean Qin Kong | | | |
| Common Stock | | | | | | | | 4,000 | Ι | In revocable trust for the benefit of Stephanie Tian | | | |

Edgar Filing: TIAN EDWARD - Form 4

| Common Stock | 05/05/2011 | | J/K(1)(2) | 54,064 D | $\frac{(1)}{(2)}$ 2,18 | 1,568 I | Held thr Pacifich Limited, is wholly-o by Edwa Tian | nfo which owned | | | | |
|--|---|---|---|--|--|--|---|--|--|--|--|--|
| Reminder: Report on a separate line for each class of securities benefic | | | | Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number. | | | | | | | | |
| | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Arr Underlying Sec (Instr. 3 and 4) | | | | |
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | A Title N S | | | | |
| Restricted Stock Units (right to acquire common stock) | \$ 0 | | | | | 01/13/2010 <u>(3)</u> | 01/13/2013 | Common Stock | | | | |
| Pre-paid variable delivery forward contract (4) | <u>(1) (2)</u> | 05/05/2011 | | J/K <u>(1)(2)</u> | 54,064 | 05/07/2012 | 05/07/2012 | Common Stock | | | | |
| Restricted Stock Units (right to acquire common stock) | \$ 0 | | | | | 07/15/2011 <u>(5)</u> | 07/15/2014 | Common Stock | | | | |

Reporting Owners

Reporting Person

| Reporting (| Relationships | | | | | |
|---|---------------|-----------|---------|-------|--|--|
| 1 8 | Director | 10% Owner | Officer | Other | | |
| FIAN EDWARD VILLA 108, BEIJING NO. 1 XIANGJIANG I BEIJING, F4 100103 | Х | | | | | |
| Signatures | | | | | | |
| /s/ Edward Tian | 05/09/2011 | | | | | |
| **Signature of | Date | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On May 7, 2011, an amendment (the "Amendment") became effective to a previously reported pre-paid variable delivery forward contract, dated May 5, 2009, between PacificInfo Limited ("PacificInfo"), which is wholly-owned by Edward Tian, and Credit Suisse Capital LLC and Credit Suisse Securities (USA) LLC (collectively referred to as "CSSU"). Pursuant to the Amendment, the number of shares PacificInfo must deliver on May 7, 2012 (the "Maturity Date") to CSSU was amended as follows: (i) the maximum number of shares to be delivered is 741,689 shares, minus 54,064 "Settlement Shares", or, (ii) at the option of PacificInfo, the cash equivalent of such shares. As compensation for the Amendment, PacificInfo agreed to pay CSSU or its agent the amount of shares equal to the Settlement Shares. (Continued in footnote 2)

- In addition, the formula for determining the exact number of shares PacificInfo must deliver was revised such that the "Forward (2)
 Floor Price" is \$17.2660, the "Forward Cap Price" is \$24.9397, and the averaging dates are the 15 consecutive scheduled trading days starting on April 16, 2012.
- (3) 6,750 restricted stock units were granted on January 13, 2009. Each restricted stock unit represents a contingent right to receive one share of common stock. The restricted stock units vest in four equal annual installments beginning January 13, 2010.
- (4) Obligation to sell common stock.
- (5) 2,937 restricted stock units were granted on July 15, 2010. Each restricted stock unit represents a contingent right to receive one share of common stock. The restricted stock units vest in four equal annual installments beginning July 15, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.