

Sharp Robert P.  
Form 4  
August 07, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Sharp Robert P.

2. Issuer Name and Ticker or Trading Symbol  
GUARANTY BANCSHARES INC  
/TX/ [GNTY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)

EVP - Guaranty Bank & Trust

C/O GUARANTY BANCSHARES, INC., 201 SOUTH JEFFERSON AVENUE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

MOUNT PLEASANT, TX 75455

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |        |   |  |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|--------|---|--|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price                             |        |   |  |
| Common Stock                    | 08/03/2018                           |  | P                              |   | 555   | A  | \$ 29.33                          | 44,431 | I | By Robert Patrick Sharp Lifetime Trust, of which Reporting Person is trustee |
|                                 | 08/03/2018                           |  | P                              |   | 1,000   | A  |                                   | 45,431 | I |  |

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|              |            |   |     |   |          |                          |   |  |  |
|--------------|------------|---|-----|---|----------|--------------------------|---|--|--|
| Common Stock |            |   |     |   | \$ 29.18 |                          |   |  | By Robert Patrick Sharp Lifetime Trust, of which Reporting Person is trustee |
| Common Stock | 08/03/2018 | P | 250 | A | \$ 29.3  | 45,681                   | I |  | By Robert Patrick Sharp Lifetime Trust, of which Reporting Person is trustee |
| Common Stock | 08/03/2018 | P | 100 | A | \$ 29.28 | 45,781                   | I |  | By Robert Patrick Sharp Lifetime Trust, of which Reporting Person is trustee |
| Common Stock | 08/03/2018 | P | 298 | A | \$ 29.5  | 46,079                   | I |  | By Robert Patrick Sharp Lifetime Trust, of which Reporting Person is trustee |
| Common Stock |            |   |     |   |          | 21,685.62 <sup>(1)</sup> | I |  | By Issuer KSOP   |
| Common Stock |            |   |     |   |          | 17,500                   | D |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Transaction (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                                   |       |
|--|---------------|-----------|-----------------------------------|-------|
|  | Director      | 10% Owner | Officer                           | Other |
| Sharp Robert P.<br>C/O GUARANTY BANCSHARES, INC.<br>201 SOUTH JEFFERSON AVENUE<br>MOUNT PLEASANT, TX 75455 |               |           | EVP -<br>Guaranty<br>Bank & Trust |       |

## Signatures

/s/ Randall R. Kucera, as attorney-in-fact 08/07/2018

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects additional shares allocated to participant's KSOP account since date of last report.

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