

TURNER JOSEPH W
Form 4
April 23, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
TURNER JOSEPH W

2. Issuer Name and Ticker or Trading Symbol
GREAT SOUTHERN BANCORP, INC. [GSBC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

 Director 10% Owner
 Officer (give title below) Other (specify below)
President/CEO

(Last) (First) (Middle)

CARE OF GREAT SOUTHERN BANK, 218 S. GLENSTONE AVE

(Street)

3. Date of Earliest Transaction (Month/Day/Year)
04/22/2019

SPRINGFIELD, MO 65802

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|---|------------------|
| | | | | (A) or (D) | Price | | | | |
| | | | | Code | V | Amount | | | |
| Common stock | 04/22/2019 | | M | A | 6,000 | \$ 19.53 | 143,682 | D | |
| Common stock | 04/22/2019 | | S | D | 6,000 | \$ 56.2498 | 137,682 | D | |
| Common stock | | | | | | | 13,335 ⁽¹⁾ | I | 401(k) Plan |
| Common stock | | | | | | | 2,478 | I | Spouse |
| Common stock | | | | | | | 8,700 | I | Children's Trust |

| | | | |
|--------------|---------|---|------------------------|
| Common stock | 369,738 | I | LTD Family Partnership |
|--------------|---------|---|------------------------|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Option to purchase | \$ 19.53 | 04/22/2019 | | M | 6,000 | <u>(2)</u> 11/16/2021 | Common stock | 6,000 |
| Option to purchase | \$ 24.82 | | | | | <u>(3)</u> 11/28/2022 | Common stock | 6,000 |
| Option to purchase | \$ 29.64 | | | | | <u>(4)</u> 12/18/2023 | Common stock | 6,000 |
| Option to purchase | \$ 32.59 | | | | | <u>(5)</u> 10/15/2024 | Common stock | 6,000 |
| Option to purchase | \$ 50.71 | | | | | <u>(6)</u> 11/18/2025 | Common Stock | 6,000 |
| Option to purchase | \$ 41.3 | | | | | <u>(7)</u> 10/24/2026 | Common Stock | 6,000 |
| Option to purchase | \$ 52.2 | | | | | <u>(8)</u> 11/15/2027 | Common Stock | 6,000 |
| Option to purchase | \$ 55 | | | | | <u>(9)</u> 11/28/2028 | Common Stock | 7,000 |

Reporting Owners

Reporting Owner Name / Address

Relationships

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Director 10% Owner Officer Other

TURNER JOSEPH W
CARE OF GREAT SOUTHERN BANK
218 S. GLENSTONE AVE
SPRINGFIELD, MO 65802

President/CEO

Signatures

Matt Snyder, Attorney-in-fact for Joseph W.
Turner

04/23/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects reporting person's holdings of units in Issuer's common stock fund under Issuer's 401(k) plan. Number of shares shown as beneficially owned under the plan represents the approximate equivalent number of shares of Issuer's common stock.
- (2) 1,500 shares vest on 11/16/2013, 11/16/2014, 11/16/2015 and 11/16/2016
- (3) 1,500 shares vest on 11/28/2014, 11/28/2015, 11/28/2016 and 11/28/2017
- (4) 1,500 shares vest on 12/18/2015, 12/18/2016, 12/18/2017 and 12/18/2018
- (5) 1,500 shares vest on 10/15/2016, 10/15/2017, 10/15/2018 and 10/15/2019
- (6) 1,500 shares vest on 11/18/2017, 11/18/2018, 11/18/2019 and 11/18/2020
- (7) 1,500 shares vest on 10/24/2018, 10/24/2019, 10/24/2020 and 10/24/2021
- (8) 1,500 shares vest on 11/15/2019, 11/15/2020, 11/15/2021 and 11/15/2022
- (9) 1,750 shares vest on 11/28/2020, 11/28/2021, 11/28/2022 and 11/28/2023

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.