Edgar Filing: Jenkins Annalisa - Form 4

Form 4										
June 15, 2018 FORM 4 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 30(h) of the Investment Company Act of 1940						OMB APPROVAL OMB 3235-0287 Number: January 31, Expires: January 31, 2005 Estimated average burden hours per response 0.5				
(Print or Type Responses)										
Jenkins Annalisa Symbol			r Name and Ticker or Trading LYX, INC. [ARDX]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) C/O ARDELYX, INC ARDENWOOD BLV	(Mont 2., 34175 06/13	e of Earliest Tr h/Day/Year) h/2018	ansaction			X Director		Owner		
			endment, Date Original			6. Individual or Joint/Group Filing(Check				
FREMONT, CA 9455		Month/Day/Year)			Applicable Line) _X_Form filed by 0 Form filed by M Person				
(City) (State)	(Zip) T	able I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed of	f, or Beneficial	lly Owned		
Security (Month/D (Instr. 3)	tion Date 2A. Deemed ay/Year) Execution Date, any (Month/Day/Ye	Code	on(A) or Dia (D) (Instr. 3, 4)	sposed	of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common 06/13/20 Stock)18	А	13,399 (1)	А	\$0	13,399	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 4.03	06/13/2018		А	15,000 (2)	(3)	06/13/2028	Common Stock	15,000

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Jenkins Annalisa C/O ARDELYX, INC. 34175 ARDENWOOD BLVD, SUITE 200 FREMONT, CA 94555	Х						
Signatures							
/s/ Elizabeth Grammer, Attorney-in-Fact for Jenkins	06/15/2018						
** Signature of Reporting Person	Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares were issued pursuant to the Issuer's Non-Employee Director Compensation Program. The Reporting Person elected to receive stock in lieu of cash as permitted under the program.
- (2) The option was issued pursuant to the Issuer's Non-Employee Director Compensation Program.
- The option vests with respect to 1/12th of the shares subject thereto on each monthly anniversary of the grant date, which vesting will(3) accelerate in full on the date of the next annual stockholder's meeting to the extent unvested as of such date, subject to continued service through each applicable vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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