TW Southcross Aggregator LP Form 4

November 14, 2018

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

10% Owner

Other (specify

Estimated average burden hours per

response... 0.5

Check this box if no longer subject to Section 16.

Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

(Middle)

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

TW Southcross Aggregator LP

2. Issuer Name and Ticker or Trading

Symbol

Southcross Energy Partners, L.P.

[SXE]

3. Date of Earliest Transaction

(Month/Day/Year) 11/12/2018

2021 MCKINNEY AVE., SUITE

1250

Security

(Instr. 3)

(Last)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

Applicable Line)

Director

Officer (give title

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

below)

DALLAS, TX 75201

(City) (State) (Zip) 1. Title of 2. Transaction Date 2A. Deemed

3. 4. Securities (Month/Day/Year) Execution Date, if TransactionAcquired (A) or

Code Disposed of (D) (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8)

(A)

or

Code V Amount (D) Price

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I) (Instr. 4) (Instr. 4)

Reported Transaction(s) (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion

3. Transaction Date 3A. Deemed

(Month/Day/Year) Execution Date, if

5. Number of TransactionDerivative

6. Date Exercisable and **Expiration Date** 

7. Title and Amount of **Underlying Securities** 

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Security (Instr. 3)	<u>.</u>		any (Month/Day/Year)	Code (Instr. 8)		Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(Month/Day/Year)		(Instr. 3 and 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Convertible Units	(1)	11/12/2018		<u>J(1)</u>		338,034		<u>(1)</u>	<u>(1)</u>	Common Units (Limited Partnership Interests)	(1)

## **Reporting Owners**

### Reporting Owner Name / Address

Relationships 10% Owner Officer Other Director

TW Southcross Aggregator LP 2021 MCKINNEY AVE. **SUITE 1250 DALLAS, TX 75201** 

TW/LM GP Sub, LLC 2021 MCKINNEY AVE. **SUITE 1250 DALLAS, TX 75201** 

TAILWATER ENERGY FUND I, LP 2021 MCKINNEY AVE. **SUITE 1250** 

**DALLAS, TX 75201** 

TW GP EF-1, LP 2021 MCKINNEY AVE.

**SUITE 1250** 

DALLAS, TX 75201

TW GP EF-1 GP, LLC 2021 MCKINNEY AVE. **SUITE 1250** 

DALLAS, TX 75201

TW GP Holdings, LLC 2021 MCKINNEY AVE.

**SUITE 1250** 

DALLAS, TX 75201

Tailwater Holdings, LP 2021 MCKINNEY AVE.

**SUITE 1250** 

2 Reporting Owners

**DALLAS, TX 75201** 

Tailwater Capital LLC 2021 MCKINNEY AVE. SUITE 1250

DALLAS, TX 75201

Downie Jason H 2021 MCKINNEY AVE. SUITE 1250 DALLAS, TX 75201

Herring Edward 2021 MCKINNEY AVE. SUITE 1250 DALLAS, TX 75201

## **Signatures**

0.9.14.4.00					
	Delaware limited partnership By: TW/LM GP Sub, LLC, lakeman, Vice President Tax & Finance	11/13/2018			
	**Signature of Reporting Person	Date			
TW/LM GP Sub. LLC, a Texas lin President Tax & Finance	mited liability company By: /s/ Brian Blakeman, Vice	11/13/2018			
	**Signature of Reporting Person	Date			
<b>6</b>	elaware limited partnership By: TW GP EF-I, LP, its GP, LLC, its General Partner By: /s/ Brian Blakeman, Vice	11/13/2018			
	**Signature of Reporting Person	Date			
TW GP EF-I, LP, a Delaware limited partnership By: TW GP EF-I GP, LLC, its General Partner By: /s/ Brian Blakeman, Vice President Tax & Finance					
	**Signature of Reporting Person	Date			
TW GP EF-I GP, LLC, a Texas line President Tax & Finance	mited liability company By: /s/ Brian Blakeman, Vice	11/13/2018			
	**Signature of Reporting Person	Date			
TAILWATER HOLDINGS, LP, a Delaware limited partnership By: TW GP Holdings, LLC, its General Partner By: /s/ Brian Blakeman, Vice President					
	**Signature of Reporting Person	Date			
TW GP HOLDINGS, LLC, a Tex President	as limited liability company By: /s/ Brian Blakeman, Vice	11/13/2018			
	**Signature of Reporting Person	Date			
TAILWATER CAPITAL LLC, a Vice President Tax & Finance	Texas limited liability company By: /s/ Brian Blakeman,	11/13/2018			
	**Signature of Reporting Person	Date			
/s/ Jason H. Downie		11/13/2018			
	**Signature of Reporting Person	Date			

Signatures 3

/s/ Edward Herring 11/13/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The Class B Convertible Units were acquired by Southcross Holdings Borrower LP ("Borrower") as a payment-in-kind distribution on outstanding Class B Convertible Units pursuant to the Issuer's Third Amended and Restated Agreement of Limited Partnership dated August 4, 2014 (the "Partnership Agreement"). The Class B Convertible Units convert into Common Units at the Class B Conversion Rate (as defined in the Partnership Agreement) on the Class B Conversion Date (as defined in the Partnership Agreement).

#### **Remarks:**

See Exhibit 99.1

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.