GTX INC /DE/ Form 4 October 03, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

**OMB APPROVAL** 

subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* HYDE JOSEPH R III

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(First)

(Street)

(Middle)

GTX INC /DE/ [GTXI]

(Check all applicable)

175 TOYOTA PLAZA, 7TH

3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ Director Officer (give title \_X\_\_ 10% Owner \_ Other (specify

**FLOOR** 

Stock

Stock

Common

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person

Filed(Month/Day/Year)

10/01/2014

200,000

216,462

99,394

Ι

I

below)

MEMPHIS, TN 38103						Form filed by More than One Reporting Person			
(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities 2000r Disposed of (Instr. 3, 4 and	of (D)	red (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/01/2014		A	18,664.38	A	\$0	14,834,205.93 (1)	D	
Common Stock							3,000,000	I	By 2014-4 GRAT
Common Stock							200,000	I	By 2014-5 GRAT
Common							200 000	ī	By 2014-6

**GRAT** 

By Spouse

### Edgar Filing: GTX INC /DE/ - Form 4

Common Stock			By 2012-1 GRAT
Common Stock	154,739	I	By 2012-2 GRAT
Common Stock	508,904	I	By Trust
Common Stock	508,903	I	By Trust
Common Stock	508,903	I	By Trust
Common Stock	203,791	I	By Trust
Common Stock	114,350	I	By Trust
Common Stock	145,352	I	By Pittco Associates II, L.P. (2)
Common Stock	3,915,716	I	By Pittco Investments, L.P. (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	5. cionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	S	ate	7. Title Amoun Underly Securiti (Instr. 3	nt of ying	8. Price of Derivative Security (Instr. 5)
				Code \	/ (A) (D)	Date Exercisable	Expiration Date	Title N	Amount or Number of Shares	

9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

(9-02)

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

HYDE JOSEPH R III

175 TOYOTA PLAZA
7TH FLOOR
MEMPHIS, TN 38103

### **Signatures**

/s/ Henry P. Doggrell, by Power of
Attorney

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Excludes 3,400,000 shares previously owned directly by the reporting person which were contributed to the 2014-4, 2014-5 and 2014-6 Grantor Retained Annuity Trusts on August 19, 2014 and represents a change in form of beneficial ownership.
- The reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therin, and the (2) inclusion of these shares in his report shall not be deemed an admission of beneficial ownership of the reported shares for the purpose of Section 16 or any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3