## Edgar Filing: SOFINNOVA VENTURE PARTNERS VI L P - Form 3

#### SOFINNOVA VENTURE PARTNERS VI L P

Form 3

February 23, 2010

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Anthera Pharmaceuticals Inc [ANTH]  **SOFINNOVA VENTURE** (Month/Day/Year) PARTNERS VI L P 02/23/2010 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 140 GEARY STREET, 10TH (Check all applicable) **FLOOR** 

> (Street) 6. Individual or Joint/Group Director \_\_X\_\_ 10% Owner Officer Other Filing(Check Applicable Line) (give title below) (specify below) Form filed by One Reporting Person X Form filed by More than One

Reporting Person

SAN FRANCISCO, Â CAÂ 94108

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D)

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

(Instr. 5) or Indirect

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

(I) (Instr. 5)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of 4. 5. 6. Nature of Indirect (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial Ownership (Month/Day/Year) Form of Derivative Security or Exercise (Instr. 5) (Instr. 4) Price of Derivative Derivative Security: **Expiration Title** Amount or Date Security Direct (D) Exercisable Number of Date

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				Shares		or Indirect (I) (Instr. 5)	
Series A-2 Convertible Preferred Stock	(1)	(1)	Common Stock	384,175	\$ <u>(2)</u>	D (3)	Â
Series B-1 Convertible Preferred Stock	(4)	(4)	Common Stock	828,323	\$ (2)	D (3)	Â
Series B-2 Convertibe Preferred Stock	(5)	(5)	Common Stock	864,855	\$ <u>(2)</u>	D (3)	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships		nips		
	Director	10% Owner	Officer	Other	
SOFINNOVA VENTURE PARTNERS VI L P 140 GEARY STREET, 10TH FLOOR SAN FRANCISCO, CA 94108	Â	ÂX	Â	Â	
Sofinnova Management VI, L.L.C. 140 GEARY ST 10TH FL SAN FRANCISCO, CA 94108	Â	ÂX	Â	Â	

# **Signatures**

SOFINNOVA VENTURE PARTNERS VI, L.P., a Delaware Limited Partnership By: Sofinnova Management VI, L.L.C., a Delaware limited liability company, Its: General Partner /s/ Nathalie Auber Nathalie Auber, Attorney-in-Fact

02/23/2010

\*\*Signature of Reporting Person

Date

Nathalie Auber, Attorney-in-Fact

02/23/2010

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Series A-2 Convertible Preferred Stock is convertible on a one-for-one basis at any time at the holder's election and has no expiration **(1)** date.
- (2) Not applicable.
- Shares are held by Sofinnova Venture Partners VI, L.P. ("SV VI"). Sofinnova Management VI, L.L.C. ("SV VI LLC") is the general partner of SV VI, and James I. Healy, a director of the issuer, Michael F. Powell, Alain L. Azan and Eric P. Buatois, the managing
- members of SV VI LLC, may be deemed to share voting and dispositive power over the shares held by SV VI. Such persons and entities disclaim beneficial ownership of shares held by SV VI except to the extent of any pecuniary interest therein.
- The Series B-1 Convertible Preferred Stock is convertible on a one-for-one basis at any time at the holder's election and has no expiration **(4)**
- The Series B-2 Convertible Preferred Stock is convertible on a one-for-one basis at any time at the holder's election and has no expiration **(5)** date.

Â

#### **Remarks:**

Exhibit List

Reporting Owners 2

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Â Exhibit 24.1 - Power of Attorney

/s/ Nathalie Auber Nathalie Auber, Attorney-in-Fact for James I. Healy, M.D., Ph.D.

/s/ Nathalie Auber Nathalie Auber, Attorney-in-Fact for Michael F. Powell

/s/ Nathalie Auber Nathalie Auber, Attorney-in-Fact for Alain L. Azan

/s/ Nathalie Auber Nathalie Auber, Attorney-in-Fact for Eric P. Buatois

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.