Edgar Filing: Allison Transmission Holdings Inc - Form 4

Allison Transmission Holdings Inc Form 4 December 02, 2016

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									:	PROVAL 3235-0287		
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations	Filed pur	suant to S	ection 1	SECUE 6(a) of th	RITIES ne Securi	ties E	Exchar	WNERSHIP OF nge Act of 1934 of 1935 or Sect	F Estimat burden respons	Expires: Janu Estimated average burden hours per response		
may continue. S See Instruction 1(b).				ivestment	•				1011			
(Print or Type Response	es)											
1. Name and Address of Reporting Person <u>*</u> STAR JAMES A			2. Issuer Name and Ticker or Trading Symbol Allison Transmission Holdings Inc [ALSN]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) (Fin C/O ALLISON TR HOLDINGS INC, WAY	3. Date of Earliest Transaction (Month/Day/Year) 11/30/2016				X_ Director 10% Owner Officer (give title Other (specify below) below)							
				If Amendment, Date Original led(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
INDIANAPOLIS,	IN 46222							Form filed by Person	y More than Or	e Repor	ting	
(City) (Sta	ate)	(Zip)	Tab	le I - Non-l	Derivative	Secur	ities A	cquired, Disposed	of, or Benef	cially (Owned	
	saction Date /Day/Year)	2A. Deeme Execution I any (Month/Da	Date, if	3. Transactio Code (Instr. 8) Code V	4. Securit nAcquired Disposed (Instr. 3, 4 Amount	(A) or of (D) 4 and 5 (A) or)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownershi Form: Direc (D) or Indir (I) (Instr. 4)	t Ind ect Be Ow	Nature of lirect neficial vnership (str. 4)	
Reminder: Report on a	separate line	for each cla	iss of sect	urities bene	-		-	r indirectly.	ection of	SEC	1474	

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

(9-02)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transac Code	5. ionNumber of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		Derivati Security
(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8	 Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) 	3				(Instr. 5
				Code V	/ (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Divident Equivalent Rights	<u>(1)</u>	11/30/2016		А	16	(1)	<u>(1)</u>	Common Stock	16	\$ 0

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Reporting Owners

Reporting Owner Name / Address			Relationships					
			10% Owner	Officer	Other			
STAR JAMES A C/O ALLISON TRANSMISSION HO ONE ALLISON WAY INDIANAPOLIS, IN 46222	OLDINGS INC	Х						
Signatures								
/s/ Eric C. Scroggins, attorney-in-fact	12/02/2016							
**Signature of Reporting Person	Date							

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The dividend equivalent rights accrued on previously awarded restricted stock units ("RSUs") and vest proportionately with the RSUs to
 (1) which they relate. Each dividend equivalent rights is the economic equivalent of one share of Allison Transmission Holdings, Inc. common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.