Edgar Filing: CAS MEDICAL SYSTEMS INC - Form 4

CAS MEDIC Form 4 January 11, 2	CAL SYSTEM	IS INC									
FORM								OMB APPROVAL			
-	UNITE	Washin				FIES AND EXCHANGE COMMISSI ington, D.C. 20549				3235-0287	
Check thi if no long	or			~~~~		~			Expires:	January 31, 2005	
subject to Section 10	SIAI . 6.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHI SECURITIES						NERSHIP OF	Estimated a burden hou	average Irs per	
Form 4 or Form 5 obligatior may conti <i>See</i> Instru 1(b).	Filed J	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							response n	0.5	
(Print or Type R	Responses)										
Tune Kathleen A Symb				Name and				5. Relationship of Reporting Person(s) to Issuer			
			[CASM]		SISILIV	15 114	C	(Check all applicable)			
	(First) EDICAL SYS ST INDUSTF		3. Date of (Month/D 01/09/20		ansaction			X Director Officer (give below)		6 Owner er (specify	
	(Street)	(Street) 4. If Amen Filed(Mont			-			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 			
BRANFORI	D, CT 06405							Form filed by N Person			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed of	, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction (Month/Day/Yo	ear) Executio any	emed on Date, if /Day/Year)	3. Transactic Code (Instr. 8) Code V	4. Securit on(A) or Dis (D) (Instr. 3, 4)	sposed	of	Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	01/09/2017			А	12,500	А	\$ 0 (1)	33,454	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Under Secur	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Relationships **Reporting Owner Name / Address** Director 10% Owner Officer Other Tune Kathleen A C/O CAS MEDICAL SYSTEMS, INC. Х 44 EAST INDUSTRIAL ROAD BRANFORD, CT 06405 Signatures /s/Kathleen A. 01/11/2017 Tune **Signature of Date Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Restricted stock grant vesting in two equal installments on 1/9/18 and 1/9/19.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.