## Edgar Filing: ITT EDUCATIONAL SERVICES INC - Form 4

## ITT EDUCATIONAL SERVICES INC

Form 4 March 01, 2002

FORM 4	UN	UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549								
/ / CHECK THIS LONGER SUBS	JECT TO . FORM 4 OR IGATIONS MAY SEE N 1(b).	Filed pursuant Section 17(a	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP  Filed pursuant to Section 16(a) of the Securities Exchange Act Section 17(a) of the Public Utility Holding Company Act of 1940  Section 30(f) of the Investment Company Act of 1940							
1. Name and Add	dress of Report	ting Person*	2. Issuer	Name A	AND Ticker o	or Tradi	ng Symbol	6. F		
BAUGH GENE		Α.	ITT Educational Services, Inc. ESI							
(Last)	(First)	(Middle)	3. IRS or Social Security 4. Number of Reporting Person (Voluntary)				Ser Pre Fir			
	Creek Parkway,	North Drive				Febr	uary 2002 			
	(Street)					5. If A Date	mendment, of Original			
Indianapolis		46250				·	th/Year)			
(City)	(State)	(Zip)	TABLE	I - NO	ON-DERIVATIV	/E SECUR	ITIES ACQUIE			
1. Title of Security (Instr. 3)		2. Trans- action	3. Trar acti Code (Ins	on estr. 8)	4. Securition Dispo					
		Year)			Amount	(A) o		Mor (Ir and		
Common Stock			М		18,750 shares					
Common Stock		2/13/02	S				\$43.02	11		
								65		

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CONTAINED IN THIS FORM ARE NOT REQUIRED TO RESPOND UNLESS THE FORM DISPLAYS A CURRENTLY VALID OMB CONTROL NUMBER.  FORM 4 (CONTINUED)  TABLE II - DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL (E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)  1. Title of Derivative Security  2. Conver- 3. Trans- 4. Transac- 5. Number of Deriv- (Instr. 3)  Sion or action tion Code ative Securities Acquired (A) or Dis- Price of (Month/ Deriv- Day/ (Instr. 3, 4, and 5)  Security  Code V (A) (D)									
Reminder: Report on a separate line for each class of securities beneficially owned directly or a filed by more than one reporting person, SEE Instruction 4(b)(v).  POTENTIAL PERSONS WHO ARE TO RESPOND TO THE COLLECTION OF INFORMATE CONTAINED IN THIS FORM ARE NOT REQUIRED TO RESPOND UNLESS THE FORM DISPLAYS A CURRENTLY VALID OMB CONTROL NUMBER.  FORM 4 (CONTINUED)  TABLE II - DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL (E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)  1. Title of Derivative Security  2. Conver- 3. Trans- 4. Transac- 5. Number of Derivision or action tion Code ative Securities ACQUIRED, DISPOSED OF, OR BENEFICIAL (E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)  1. Title of Derivative Security  Sion or action tion Code ative Securities ACQUIRED, DISPOSED OF, OR BENEFICIAL (E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)  1. Title of Derivative Security  Security  2. Conver- 3. Trans- 4. Transac- 5. Number of Derivision or action tion Code ative Securities ACQUIRED, DISPOSED OF, OR BENEFICIAL (E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)  1. Title of Derivative Security  Security  3. Trans- 4. Transac- 5. Number of Derivision or action tion Code ative Securities ACQUIRED, DISPOSED OF, OR BENEFICIAL (E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)  1. Title of Derivative Security  Security  1. Title of Derivative Security  Security  Code V (A) (D)									
FORM 4 (CONTINUED)  TABLE II - DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAI (E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)  1. Title of Derivative Security 2. Conver- 3. Trans- 4. Transac- 5. Number of Derivsion or action tion Code ative Securities Acquired (Instr. 3)  Exercise Date (Instr. 8) quired (A) or Disprice of (Month/ Deriv-Day/ (Instr. 3, 4, and 5 ative Year)  Security  Code V (A) (D)	Reminder: Report on a separate li	ne for each cla	ss of securi	ties benefic	cially owned di				
sion or action tion Code ative Securities Active Securities Active Securities Active Securities Active Security Sion or action tion Code ative Securities Active Security Sion or action tion Code ative Securities Active Security Security Sion or action tion Code ative Securities Active Security Security Security Security Security Security Security Code V (A) (D)	CON	TAINED IN THIS	FORM ARE NOT	REQUIRED TO	RESPOND UNLES				
sion or action tion Code ative Securities Active Securities Active Securities Active Securities Active Security Sion or action tion Code ative Securities Active Security Sion or action tion Code ative Securities Active Security Security Sion or action tion Code ative Securities Active Security Security Security Security Security Security Security Code V (A) (D)	FORM 4 (CONTINUED) TABLE								
	-	sion or Exercise Price of Deriv- ative	action Date (Month/ Day/	tion Coc	tion Code ative Securities A (Instr. 8) quired (A) or Disposed of (D)				
Stock Option (Right to Buy) \$24.25 2/13/02 M 18,750				Code V	(A)	(D)			
	Stock Option (Right to Buy)	\$24.25	2/13/02	 М		18,750			

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7. Title and Amount lying Securities (Instr. 3 and 4)  Title	Amount or Number of	8.	Price of Deriv- ative Secur- ity (Instr. 5)	Securi- ties Bene- ficially Owned at End of Month	ship Form of De- rivative Secu- rity: Direct (D) or Indi- rect (I)	11.	Nature of In- direct Bene- ficial Own- ership (Instr. 4)
	Shares			(Instr. 4)	 (Instr. 4)		
Common Stock	18,750			0	D		
Explanation of Respo	onses:						

- (1) From January 16, 2002 through February 15, 2002, the reporting person acquired 6 shares of ES 401(k) plan.
- (2) The option vested in three equal installments on February 10, 1998, 1999 and 2000.

/s/ Gene A. Ba

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations. SEE 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). \*\*Signature c

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, SEE Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid  ${\tt OMB}$  Number.