

EVERGREEN ENERGY INC  
Form 4  
November 01, 2011

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
LIBRA FUND II (LUXEMBOURG)  
S.A.R.L.

(Last) (First) (Middle)

5, RUE GUILLAUME KROLL

(Street)

LUXEMBOURG N4 L-1882

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

EVERGREEN ENERGY INC [EEE]

3. Date of Earliest Transaction (Month/Day/Year)

10/28/2011

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_X\_\_\_ 10% Owner  
\_\_\_ Officer (give title below) \_\_\_ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_\_\_ Form filed by One Reporting Person  
\_X\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/28/2011		S	550 D	\$ 0.89 665,212	D <sup>(1)</sup>	
Common Stock	10/28/2011		S	550 D	\$ 0.89 665,212	I	See Footnote <sup>(2)</sup>
Common Stock	10/31/2011		S	25,746 D	\$ 0.8803 639,466	D <sup>(1)</sup>	
Common Stock	10/31/2011		S	25,746 D	\$ 0.8803 639,466	I	See Footnote <sup>(2)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned (Instr. 5)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LIBRA FUND II (LUXEMBOURG) S.A.R.L. 5, RUE GUILLAUME KROLL LUXEMBOURG N4 L-1882		X		
Libra Fund (Luxembourg) S.a r.l. 5, RUE GUILLAUME KROLL LUXEMBOURG N4 L-1882		X		

## Signatures

LIBRA FUND II (LUXEMBOURG) S.A.R.L.(+), By: /s/ Ranjan Tandon, Managing Member of the Investment Manager	11/01/2011
**Signature of Reporting Person	Date
LIBRA FUND (LUXEMBOURG) S.A.R.L.(+), By: /s/ Ranjan Tandon, Managing Member of the Investment Manager	11/01/2011
**Signature of Reporting Person	Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These securities are directly owned by Libra Fund II (Luxembourg) S.a r.l.
- (2)

## Edgar Filing: EVERGREEN ENERGY INC - Form 4

These securities are directly owned by Libra Fund II (Luxembourg) S.a r.l. and may be deemed to be beneficially owned by Libra Fund (Luxembourg) S.a r.l. by virtue of its role as the beneficial owner of Libra Fund II (Luxembourg) S.a r.l.

### **Remarks:**

(+) Each reporting person hereby disclaims beneficial ownership over the securities, except to the extent of its pecuniary interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.