

ROYAL GOLD INC
Form 3
July 08, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Îsto Mark | | (Month/Day/Year) | ROYAL GOLD INC [RGLD] | |
| (Last) | (First) | (Middle) | 07/01/2016 | |
| 1660 WYNKOOP STREET, Î SUITE 1000 | | | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street) | | | (Check all applicable) | |
| DENVER, Î CO Î 80202 | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| (City) | (State) | (Zip) | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| | | | (give title below) (specify below) | <input type="checkbox"/> Form filed by More than One Reporting Person |
| | | | Vice President Operations | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 6,632 ⁽¹⁾ | D | Î |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
| | | Title | | | |

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| | Date Exercisable | Expiration Date | | Amount or Number of Shares | | or Indirect (I) (Instr. 5) | |
|-----------------------------------|------------------|-----------------|--------------|----------------------------|----------|----------------------------|---|
| Stock Options (ISO-Rights to Buy) | Â (2) | 08/20/2025 | Common Stock | 1,768 | \$ 56.54 | D | Â |
| Stock Options (ISO-Right to Buy) | Â (3) | 01/05/2025 | Common Stock | 1,518 | \$ 65.85 | D | Â |
| Stock Appreciation Rights | Â (2) | 08/20/2025 | Common Stock | 3,232 | \$ 56.54 | D | Â |
| Stock Appreciation Rights | Â (3) | 01/05/2025 | Common Stock | 655 | \$ 65.85 | D | Â |
| Performance Shares | Â (4) | 08/20/2018 | Common Stock | 5,000 | \$ 56.54 | D | Â |
| Performance Shares | Â (4) | 08/20/2020 | Common Stock | 5,000 | \$ 56.54 | D | Â |
| Performance Shares | Â (4) | 01/05/2025 | Common Stock | 1,875 | \$ 65.85 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-----------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Isto Mark 1660 WYNKOOP STREET SUITE 1000 DENVER, CO 80202 | Â | Â | Â Vice President Operations | Â |

Signatures

Margaret A. Beck as Attorney-in-Fact for Mark Isto 07/08/2016

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Includes 6,342 shares of restricted stock that have not yet vested.
- (2) One-third vests annually over three years beginning on August 20, 2016.
- (3) One-third vests annually over three years beginning on January 5, 2016.
- (4) A performance award represents a contingent right to receive shares of common stock upon achievement of certain performance goals.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.