

E TRADE FINANCIAL CORP
Form 8-K
February 12, 2019

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

**Pursuant to Section 13 or 15(d) of
the Securities Exchange Act of 1934**

Date of report: February 7, 2019

(Date of earliest event reported)

E*TRADE Financial Corporation

(Exact name of Registrant as Specified in its Charter)

Delaware

(State or other jurisdiction
of incorporation or organization)

1-11921

(Commission File Number)

94-2844166

(I.R.S. Employer
Identification Number)

11 Times Square, New York, New York 10036

(Address of Principal Executive Offices and Zip Code)

(646) 521-4300

(Registrant's Telephone Number, Including Area Code)

Not Applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 8.01 Other Events

On February 7, 2019, the Board of Directors of the Company declared cash dividends of \$29.375 per share on its Fixed-to-Floating Rate Non-Cumulative Perpetual Preferred Stock, Series A and \$2,650.00 per share (equivalent to \$26.500 per depositary share) on its Fixed-to-Floating Rate Non-Cumulative Perpetual Preferred Stock, Series B. Each of these cash dividends will be distributed on March 15, 2019 to stockholders of record as of the close of business on February 28, 2019.

Additionally, the Company published an updated version of its investor presentation with data for the quarter and year ended December 31, 2018. The presentation is available on the Company's corporate website, about.etrade.com. The information on the Company's corporate website is not part of this document.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

E*TRADE FINANCIAL
CORPORATION

Dated: February 12, 2019

By: /s/ Lori S. Sher
Name: Lori S. Sher
Title: Corporate Secretary