Sorrento Therapeutics, Inc. Form 8-K May 02, 2016

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): May 2, 2016

SORRENTO THERAPEUTICS, INC.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction

001-36150 (Commission

33-0344842 IRS Employer

of incorporation or organization)

File Number)
9380 Judicial Drive

Identification No.)

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San Diego, CA 92121

(Address of principal executive offices)

Registrant s telephone number, including area code: (858) 210-3700

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- " Written communication pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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Item 8.01 Other Events.

On May 2, 2016, Sorrento Therapeutics, Inc. (the Company) announced that Gunnar F. Kaufmann, Ph.D., Senior Vice President, Immunotherapy, & Head of Research and Global Partnerships, will present at 2 upcoming conferences in New York City:

Young Jewish Professionals (YJP) CEO BioTech Forum on May 4, 2016 at Morrison & Foerster LLP, 250 West 55th Street, 21st Floor, New York, NY 10019.

PIONEERS 2016, presented by Joseph Gunnar, from 10:00 am to 10:30 am on May 5, 2016 at the New York Palace Hotel, Hubbard 1, 455 Madison Ave, New York, NY 10022.

A copy of the press release is attached as Exhibit 99.1 and incorporated herein by reference.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits.

99.1 Press release dated May 2, 2016

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: May 2, 2016

SORRENTO THERAPEUTICS, INC.

By: /s/ Henry Ji Name: Henry Ji

Title: President and Chief Executive

Officer