

RICHARDSON EDWARD J  
Form 4  
December 17, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
RICHARDSON EDWARD J

2. Issuer Name and Ticker or Trading Symbol  
RICHARDSON ELECTRONICS LTD/DE [RELL]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
40W267 KESLINGER ROAD PO BOX 393

3. Date of Earliest Transaction (Month/Day/Year)  
12/14/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chairman & CEO

(Street)  
LAFOX, IL 60147

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |     |       |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|-----|-------|
|                                 |                                      |  |                                | (A) or (D)  | Code  | V  | Amount                            | (D) | Price |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative | 2. Conversion | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any | 4. Transaction Code | 5. Number of | 6. Date Exercisable and Expiration Date | 7. Title and Amount of Underlying Securities | 8. Price of Derivative |
|------------------------|---------------|--------------------------------------|-----------------------------------|---------------------|--------------|---|--|------------------------|
|------------------------|---------------|--------------------------------------|-----------------------------------|---------------------|--------------|---|--|------------------------|

# Edgar Filing: RICHARDSON EDWARD J - Form 4

| Security (Instr. 3)  | or Exercise Price of Derivative Security | any (Month/Day/Year) | Code (Instr. 8) | Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | (Month/Day/Year) | (Instr. 3 and 4) | Security (Instr. 5) |                            |
|----------------------|--|----------------------|-----------------|--|------------------|------------------|---------------------|----------------------------|
|                      |  |                      | Code V          | (A) (D)  | Date Exercisable | Expiration Date  | Title               | Amount or Number of Shares |
| Class B Common Stock | \$ 0 <sup>(1)</sup>                      | 12/14/2018           | G               | 500 <sup>(2)</sup>   | <sup>(3)</sup>   | <sup>(4)</sup>   | Common stock        | 500 \$ 0                   |
| Class B Common Stock | \$ 0 <sup>(1)</sup>                      | 12/14/2018           | G               | 500 <sup>(5)</sup>   | <sup>(3)</sup>   | <sup>(4)</sup>   | Common stock        | 500 \$ 0                   |

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

RICHARDSON EDWARD J  
40W267 KESLINGER ROAD PO BOX 393  
LAFOX, IL 60147

| Director | 10% Owner | Officer           | Other |
|----------|-----------|-------------------|-------|
| X        | X         | Chairman<br>& CEO |       |

## Signatures

/s/ Robert J. Ben, attorney-in-fact for Edward J. Richardson

12/14/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Each share of Class B Common Stock is convertible into one share of Common Stock

(2) This was a bona fide gift to Alexander Richardson, son

(3) Immediately convertible

(4) No expiration date

(5) This was a bona fide gift to Nicholas Richardson, son

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.