NewStar Financial, Inc. Form ABS-15G February 03, 2017

## **UNITED STATES**

## SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## FORM ABS-15G

## ASSET-BACKED SECURITIZER

## **REPORT PURSUANT TO SECTION 15G OF**

## THE SECURITIES AND EXCHANGE ACT OF 1934

Check the appropriate box to indicate the filing obligation to which this form is intended to satisfy:

Rule 15Ga-1 under the Exchange Act (17 CFR 240.15Ga-1) for the reporting period January 1, 2016 to December 31, 2016

Date of Report (Date of earliest event reported): February 2, 2017

Commission File Number of securitizer: 001-33211

Central Index Key Number of securitizer: 0001373561

NEWSTAR FINANCIAL, INC.

(Exact name of securitizer as specified in its charter)

## Edgar Filing: NewStar Financial, Inc. - Form ABS-15G

## John Kirby Bray

## **Chief Financial Officer**

## 617-848-2500

# (Name and telephone number, including area code, of the person to

## contact in connection with this filing)

Indicate by check mark whether the securitizer has no activity to report for the initial period pursuant to Rule 15Ga-1(c)(1)

Indicate by check mark whether the securitizer has no activity to report for the quarterly period pursuant to Rule 15Ga-1(c)(2)(i)

Indicate by check mark whether the securitizer has no activity to report for the annual period pursuant to Rule 15Ga-1(c)(2)(ii)

## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the reporting entity has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

NewStar Financial, Inc. (Securitizer)

Date February 3, 2017

/s/ John Kirby Bray John Kirby Bray Chief Financial Officer