### Edgar Filing: HARLEYSVILLE SAVINGS FINANCIAL CORP - Form 4

#### HARLEYSVILLE SAVINGS FINANCIAL CORP

Form 4

December 07, 2012

| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION     |                              |               |   |  |           |                  | OMB APPROVAL                                     |  |  |                    |  |
|---|------------------------------|---------------|---|--|-----------|------------------|--|--|--|--------------------|--|
| Washington, D.C. 20549                                      |                              |               |   |  |           |                  |  | OMB<br>Number:   | 3235-0287  |                    |  |
| Check th if no long   | rae                          |               |   |  |           |                  |  |  | Expires:   | January 31<br>2005 |  |
| subject to Section 16. Form 4 or                            |                              |               |   | GES IN BENEFICIAL OWN<br>SECURITIES                  |           |                  |  | NERSHIP OF   | Estimated average burden hours per                                   |                    |  |
| Form 5 obligatio may cont See Instruction 1(b).             | Filed pu<br>ns<br>Section 17 | (a) of the    | Public Ut   |  | ing Con   | npan             | y Act of   | e Act of 1934,<br>f 1935 or Section<br>40  | response   | 3.0                |  |
| (Print or Type I  | Responses)                   |               |   |  |           |                  |  |  |  |                    |  |
| GORDON ADRIAN D Symbol                                      |                              |               | r Name <b>and</b> Ticker or Trading                                   |  |           |                  | 5. Relationship of Reporting Person(s) to Issuer |  |  |                    |  |
|   |                              |               | FINAN   | CIAL CO  | RP [HA    | RL]              |  | (Check all applicable)   |  |                    |  |
| (Month/   |                              |               |   | te of Earliest Transaction<br>th/Day/Year)<br>5/2012 |           |                  |  | Director 10% Owner X Officer (give title Other (specify below) Senior Vice President |  |                    |  |
|   |                              |               |   | ndment, Date Original                                |           |                  |  | 6. Individual or Joint/Group Filing(Check  |  |                    |  |
|   | ` '                          |               |   | th/Day/Year)   | _         | •                |  | Applicable Line) _X_ Form filed by O Form filed by M                                 | one Reporting Pe   | rson               |  |
| SCHWENK   | SVILLE, PA 19                | 473           |   |  |           |                  |  | Person   | ore man one Re   | porting            |  |
| (City)  | (State)                      | (Zip)         | Table   | e I - Non-D  | erivative | Secur            | ities Acq  | uired, Disposed of   | , or Beneficial  | ly Owned           |  |
| 1.Title of Security (Month/Day/Year) Execution any (Month/D |                              |               | n Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) |  |           |                  | d of (D)   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following                     | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |                    |  |
|   |                              |               |   | Code V   | Amount    | (A)<br>or<br>(D) | Price  | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                                       |  |                    |  |
| Common  | 12/05/2012                   |               |   | M  | 1,166     | A                | \$<br>13.13                                      | 16,926   | D  |                    |  |
| Common  |                              |               |   |  |           |                  |  | 9,123  | I  | By 401(k)<br>Plan  |  |
| Reminder: Rep   | oort on a separate lin       | e for each cl | ass of secu   | rities benefi  | -         |                  | •  | indirectly.  | ion of   | FC 1474            |  |

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(9-02)

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# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number op Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     |
|---|---|---|---|--|---|--|--------------------|---|-------------------------------------|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Stock<br>Option<br>Grant                            | \$ 8.7  |   |   |  |   | 01/02/2002   | 01/02/2011         | Common<br>Stock   | 550                                 |
| Stock<br>Option<br>Grant                            | \$ 10.6   |   |   |  |   | 01/02/2003   | 01/02/2012         | Common<br>Stock   | 583                                 |
| Stock<br>Option<br>Grant                            | \$ 13.13  | 12/05/2012                              |   | M                                      | 1,166   | 01/01/2004   | 01/01/2013         | Common<br>Stock   | 1,166                               |
| Stock<br>Option<br>Grant                            | \$ 17.16  |   |   |  |   | 01/01/2005   | 01/01/2014         | Common<br>Stock   | 1,167                               |
| Stock<br>Option<br>Grant                            | \$ 17.79  |   |   |  |   | 01/03/2006   | 01/01/2015         | Common<br>Stock   | 1,167                               |
| Stock<br>Option<br>Grant                            | \$ 18   |   |   |  |   | 01/01/2007   | 01/01/2016         | Common<br>Stock   | 850                                 |
| Stock<br>Option<br>Grant                            | \$ 17.45  |   |   |  |   | 01/27/2011   | 01/27/2016         | Common<br>Stock   | 10,000                              |
| Stock<br>Option<br>Grant                            | \$ 17.68  |   |   |  |   | 01/03/2012   | 01/03/2017         | Common<br>Stock   | 3,000                               |
| Stock<br>Option<br>Grant                            | \$ 12.5   |   |   |  |   | 01/03/2013   | 01/03/2018         | Common<br>Stock   | 7,554                               |
| Stock<br>Option<br>Grant                            | \$ 12.6   |   |   |  |   | 01/02/2014   | 11/11/2018         | Common<br>Stock   | 7,673                               |
| Stock   | \$ 13.05  |   |   |  |   | 01/03/2015   | 11/20/2019         | Common  | 7,073                               |

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| Option<br>Grant          |          |            |            | Stock           |       |
|--------------------------|----------|------------|------------|-----------------|-------|
| Stock<br>Option<br>Grant | \$ 15.15 | 01/03/2016 | 11/12/2020 | Common<br>Stock | 3,390 |
| Stock<br>Option<br>Grant | \$ 14.84 | 01/03/2017 | 11/03/2021 | Common<br>Stock | 4,125 |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

GORDON ADRIAN D 30 ASHFORD WAY SCHWENKSVILLE, PA 19473

Senior Vice President

### **Signatures**

Adrian D.
Gordon

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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