

Edgar Filing: MCCORMICK ROBERT J - Form 4

MCCORMICK ROBERT J  
Form 4  
March 13, 2003

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Filed pursuant to  
Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940  
 Check box if no longer subject of Section 16. Form 4 or Form 5 obligations  
may continue. See Instruction 1(b).

1. Name and Address of Reporting Person 1

|                  |                   |          |
|------------------|-------------------|----------|
| McCormick        | Robert            | J.       |
| (Last)           | (First)           | (Middle) |
| c/o Trustco Bank | 5 Sarnowski Drive |          |
| (Street)         |                   |          |
| Glenville        | NY                | 12302    |
| (City)           | (State)           | (Zip)    |

2. Issuer Name and Ticker or Trading Symbol

TrustCo Bank Corp NY (TRST)

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/day/Year

March 12, 2003

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer  
(Check all applicable)

|  |   |
|--|---|
| <input type="checkbox"/> Director                              | <input type="checkbox"/> 10% Owner                                    |
| <input checked="" type="checkbox"/> Officer (give title below) | <input checked="" type="checkbox"/> Other (specify below)             |
| VICE PRESIDENT OF ISSUER                                       | CEO & PRESIDENT OF TRUSTCO BANK<br>SUBSIDIARY OF TRUSTCO BANK CORP NY |

7. Individual or Joint/Group Filing (Check applicable line)

Form filed by one Reporting Person  
 Form filed by more than one Reporting Person

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Table I -- Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

| 1.<br>Title of Security<br>(Instr. 3) | 2.<br>Transaction<br>Date<br>(Month/Day/<br>Year) | 3.<br>Transaction<br>Code<br>(Instr. 8)<br>-----<br>Code V | 4.<br>Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |                  |       | 5.<br>Amount of<br>Securities<br>Beneficially<br>Owned at End<br>of Month<br>(Instr. 3<br>and 4) |
|---------------------------------------|---|--|--|------------------|-------|--|
|                                       |   |  | Amount   | or<br>(A)<br>(D) | Price |  |
| Common Stock                          | 3/12/03   | M  | 265,498  | A                | 3.78  |  |
| Common Stock                          | 3/12/03   | J  | 104,444  | D                | 9.61  | 161,054  |
| Common Stock                          |   |  |  |                  |       | 200,085  |
| Common Stock                          |   |  |  |                  |       | 3,749  |
| Common Stock                          |   |  |  |                  |       | 23,070   |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(Over)  
SEC 1474 (3-99)

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

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(e.g., puts, calls, warrants, options, convertible securities)

| 1.<br>Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conver-<br>sion<br>or<br>Exer-<br>cise<br>Price<br>of<br>Deriv-<br>ative<br>Secur-<br>ity | 3.<br>Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | 4.<br>Trans-<br>action<br>Code<br>(Instr.<br>8)<br>-----<br>Code V | 5.<br>Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed<br>of (D)<br>(Instr. 3,<br>4 and 5)<br>-----<br>(A) (D) | 6.<br>Date<br>Exercisable and<br>Expiration Date<br>(Month/Day/Year)<br>-----<br>Date Expira-<br>tion<br>Date | 7.<br>Title and Amount<br>of Underlying<br>Securities<br>(Instr. 3 and 4)<br>-----<br>Amount<br>or<br>Number<br>of<br>Shares |
|--|---|--|--|--|---|--|
|--|---|--|--|--|---|--|

|                                |      |         |   |         |                 |                 |
|--------------------------------|------|---------|---|---------|-----------------|-----------------|
| Stock Option<br>(Right to Buy) |      |         |   |         |                 | Common<br>Stock |
| Option:Right-to-Buy            | 3.78 | 3/12/03 | M | 265,498 | 5/17/94 5/17/04 | Common 265,49   |

/s/ Henry C. Collins

03/12/03

\*\*Signature of Reporting Person

Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

