## Edgar Filing: HUMANA INC - Form 144

HUMANA INC Form 144 February 21, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 144						OMB APPROVAL OMB Number: 3235-0101 Expires: May 31, 2017		
NOTICE OF PROPOSED SALE OF SECURITIES PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933						Estimated average burden hours per response 1.00 SEC USE ONLY		
executing a	rder with a broker to sale directly with a m E OF ISSUER (Pleas	arket maker.	(b) I NO.	·	DOCUME NO. CUSIP NU S.E.CWORK LO	DOCUMENT SEQUENCE		
				1	-5975			
I (d) ADDI	RESS OF ISSUER STATE	STREET ZIP COD	CITY E		(e) TELEI AREA CODE 502	PHONE NUMBER 580-1000		
Street 2 (a) NAM WHOSE AC	est Main  E OF PERSON FOR  COUNT THE SECU		TO	TI <b>QNSAID</b> DRES CIT		40202 ZIP CODE		
ARE TO BE	SOLD		ISSUE	EK				
Christo	pher M. Todoroff		Senior V.P Gene Counsel	500 W. Main eralStreet		KY 40202		
INSTRUC	TION: The person	-			the I.R.S. iden	tification Number		
3 (a)	(b)	SEC USE ONLY			(f)	(g)		
Title of the	Name and Address of Each Broker Through Whom the Securities Are To Be Offered or Each Market Maker who is Acquiring the		Number of A	ggregateNumber Market of Value Shares	Approximate D Sale (See Instr. 3 (MO. DAY	eate of Name of Each (f)) Securities		
Common	Securities UBS Financial Services One State Street, Ste 1600 Hartford, CT 06103		C	956,54 <b>5950</b> ,498,40 based as of on FMV1/31/2017 on 2/17/2017 of		7 NYSE		

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#### \$205.3250

#### **INSTRUCTIONS:**

- 3. (a) Title of the class of securities to be sold
- 1. (a) Name of Issuer
- (b) Name and Address of each broker through whom the securities are intended t
- (b) Issuer's I.R.S. Identification Number (c) Number of shares or other units to be sold (if debt securities, give the aggregation) (d) Aggregate market value of the securities to be sold as of a specified date with
  - (c) Issuer's S.E.C. file number, if any
- (e) Number of shares or other units of the class outstanding, or if debt securities t
- (d) Issuer's address, including zip code
- (e) Issuer's telephone number, including area bydhe most recent report or statement published by the issuer
  - (f) Approximate date on which the securities are to be sold
  - (g) Name of each securities exchange, if any, on which the securities are intended
- 2. (a) Name of person for whose account the securities are to be sold
  - (b) Such person's relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)
  - (c) Such person's address, including

#### zip code

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1147 (08-07)

#### TABLE I - SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor.

	Title of	Date you	Nature of Acquisition	Name of the Person	Amount of	Date of	Nature of			
	the Class	Acquired	Transaction	from Whom Acquired	Securities	Payment	Payment			
				(if gift, also give date	Acquired					
				donor acquired						
	Common	02/21/2017	Stock Option Exercise	Issuer	3,309	02/21/2017	Cash			
	Common	02/21/2017	Stock Option Exercise	Issuer	14,108	02/21/2017	Cash			
	Common	02/18/2017	Restricted Stock	Issuer	3,003	N/A	NA			
	Common	02/20/2016	Restricted Stock	Issuer	3,720	N/A	NA			

INSTRUCTIONS: If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

#### TABLE II - SECURITIES SOLD DURING THE PAST 3 MONTHS (1)

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Title of Securities Name and Address of Seller Amount of

> Sold Date of Sale Securities Sold **Gross Proceeds**

None

**REMARKS**:

#### **INSTRUCTIONS:**

#### ATTENTION:

See the definition of "person" in paragraph (a) of RuleThe person for whose account the securities to which this 144. Information is to be given not only as to thenotice relates are to be sold hereby represents by signing this person for whose account the securities are to be soldnotice that he does not know any material adverse but also as to all other persons included in thatinformation in regard to the current and prospective definition. In addition, information shall be given as tooperations of the issuer of the securities to be sold which has sales by all persons whose sales are required bynot been publicly disclosed. If each person has adopted a paragraph (e) of Rule 144 to be aggregated with saleswritten trading plan or given trading instructions to satisfy for the account of the person filing this notice. Rule 10b5-1 under the Exchange Act, by signing the form and

indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan

adoption or instruction date.

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February 21, (SIGNATURE)

2017

#### DATE OF NOTICE

# DATE OF PLAN OR GIVING OF INSTRUCTION, IF RELYING ON RULE 10B5-1

This notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed.

Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)

SEC 1147 (02-08)