

PENNANTPARK INVESTMENT CORP
Form 8-K
March 16, 2018

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 Or 15(d) of The
Securities Exchange Act of 1934

March 14, 2018

Date of Report (Date of earliest event reported)

PennantPark Investment Corporation

(Exact name of registrant as specified in its charter)

Edgar Filing: PENNANTPARK INVESTMENT CORP - Form 8-K

Maryland 814-00736 20-8250744
(State or other jurisdiction of incorporation) (Commission File Number) (IRS Employer Identification No.)

590 Madison Avenue, 15th Floor, New York, NY 10022
(Address of principal executive offices) (Zip Code)

212-905-1000

(Registrant's telephone number, including area code)

Not Applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§ 230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§ 240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 5.07 Submission of Matters to a Vote of Security Holders

On March 14, 2018, PennantPark Investment Corporation (the “Company”) held a reconvened annual meeting of stockholders (the “Annual Meeting”) and submitted one matter to the vote of stockholders. The proposal is described in detail in the Company’s definitive proxy statement filed with the Securities and Exchange Commission on December 8, 2017. As of November 17, 2017, the record date, 71,060,836 shares of common stock were eligible to vote. A summary of the matter voted upon by the stockholders is set forth below.

Proposal 1. The Company’s stockholders elected two Class II directors of the Company, who will each serve until the 2021 Annual Meeting and until his successor is duly elected and qualifies.

The voting results as of March 14, 2018, as certified by the inspector of election, are set forth below:

Name	For	Withheld	Broker Non Vote
Adam Bernstein	35,799,933	7,920,538	27,340,365
% of Shares Voted	81.88	% 18.12	% N/A
Jeffrey Flug	40,943,429	2,777,042	27,340,365
% of Shares Voted	93.65	% 6.35	% N/A

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

PennantPark Investment Corporation

Date: March 16, 2018

/s/ AVIV EFRAT
Aviv Efrat

Chief Financial Officer & Treasurer