

BORI CARLOS S
Form 4
November 08, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BORI CARLOS S

2. Issuer Name and Ticker or Trading Symbol
SKYWORKS SOLUTIONS, INC.
[SWKS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
5221 CALIFORNIA AVENUE

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
11/06/2018

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
SVP, Sales & Marketing

IRVINE, CA

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V Amount or (D) Price			
Common Stock	11/07/2018		A	3,231 (1) A \$ 0	12,843 (2)	D	
Common Stock	11/07/2018		F	1,118 (3) D \$ 82.65	11,725	D	
Common Stock	11/07/2018		M	2,159 A (4)	13,884	D	
Common Stock	11/07/2018		F	747 (3) D \$ 82.65	13,137	D	
Common Stock					1,002 (5)	I	By 401(k) plan

Edgar Filing: BORI CARLOS S - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
					V	(A)	(D)			
Restricted Stock Units	(6)	11/06/2018		A		13,068	(7) (7)	Common Stock	13,068	
Restricted Stock Units	(4)	11/07/2018		M		2,159	(8) (8)	Common Stock	2,159	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BORI CARLOS S 5221 CALIFORNIA AVENUE IRVINE, CA			SVP, Sales & Marketing	

Signatures

Daniel L. Ricks, as Attorney-In-Fact for Carlos S. Bori	11/08/2018
**Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents 3,231 shares of common stock issued to the Reporting Person pursuant to a performance share award dated 11/7/2017. Such performance share award contained both a continued employment condition and a performance condition related to the achievement by the Issuer of certain pre-established performance metrics for fiscal year 2018.
 - (2) This total includes 240 shares purchased on 1/31/2018 through the Skyworks Solutions, Inc. 2002 Employee Stock Purchase Plan.
 - (3) Transfer of stock to the Issuer of the number of common shares determined to be sufficient to satisfy tax withholding obligations related to the issuance of unrestricted stock to the Reporting Person.

Edgar Filing: BORI CARLOS S - Form 4

- (4) Restricted stock units convert into shares of common stock on a one-for-one basis.
- (5) This total represents the number of shares of common stock held by the Reporting Person in the Skyworks Solutions, Inc. 401(k) plan based on the latest plan statement dated 10/31/2018.
- (6) Each restricted stock unit represents the contingent right to receive one (1) share of common stock upon vesting of the unit.
- (7) The restricted stock units vest in four (4) equal installments, beginning on 11/6/2019 and ending on 11/6/2022.
- (8) The restricted stock units vest in four (4) equal installments, beginning on 11/7/2018 and ending on 11/7/2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.