

Edgar Filing: ESTEE LAUDER COMPANIES INC - Form 4

ESTEE LAUDER COMPANIES INC

Form 4

December 10, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549  
 FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check this box if no longer subject to Section 16.  
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person  
 Hockaday, Irvine O. Jr.  
 2501 McGee Trafficway  
 Box 419580  
 Kansas City, MO 64141
2. Issuer Name and Ticker or Trading Symbol  
 The Estee Lauder Companies Inc.  
 EL
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Year  
 11/30/2001
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)  
 (X) Director ( ) 10% Owner ( ) Officer (give title below) ( ) Other  
 (specify below)
7. Individual or Joint/Group Filing (Check Applicable Line)  
 (X) Form filed by One Reporting Person  
 ( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Code | 4. Securities Acquired (A) or Disposed of (D) Amount | 5. Amount of Securities Beneficially Owned at End of Month |
|----------------------|---------------------|---------|--|--|
|----------------------|---------------------|---------|--|--|

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date | 4. Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) Amount | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities | 8. Purchase Price of Underlying Securities |
|---------------------------------|--|---------------------|---------|---|--|--|--|
|---------------------------------|--|---------------------|---------|---|--|--|--|

|                            |         |            |       |        |     |                      |        |
|----------------------------|---------|------------|-------|--------|-----|----------------------|--------|
| Stock Units (Cash Payment) | 1 for 1 | 07/26/2001 | A (1) | 37.04  | (2) | Class A Common Stock | 37.04  |
| Stock Units (Cash Payment) | 1 for 1 | 08/22/2001 | A (1) | 447.43 | (2) | Class A Common Stock | 447.43 |

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|   |  |         |  |  |  |  |  |  |                     |  |      |
|---|--|---------|--|--|--|--|--|--|---------------------|--|------|
| ut)   |  | /2001 ) |  |  |  |  |  |  | on Stock            |  |      |
| Stock Units (Cash Payo 1 for 1  09/13 A (1 V 39.16  |  | /2001 ) |  |  |  |  |  |  | Class A Comm 39.16  |  | \$38 |
| ut)   |  | /2001 ) |  |  |  |  |  |  | on Stock            |  |      |
| Stock Units (Cash Payo 1 for 1  10/02 A (3 V 1.39   |  | /2001 ) |  |  |  |  |  |  | Class A Comm 1.39   |  | \$32 |
| ut)   |  | /2001 ) |  |  |  |  |  |  | on Stock            |  |      |
| Stock Units (Cash Payo 1 for 1  10/31 A (1 V 558.14 |  | /2001 ) |  |  |  |  |  |  | Class A Comm 558.14 |  | \$32 |
| ut)   |  | /2001 ) |  |  |  |  |  |  | on Stock            |  |      |
| Option (Right to Buy)  \$32.25  10/31 A (4 V 7,325  |  | /2001 ) |  |  |  |  |  |  | Class A Comm 7,325  |  |      |
|   |  | /2001 ) |  |  |  |  |  |  | on Stock            |  |      |
|   |  |         |  |  |  |  |  |  |                     |  |      |
|   |  |         |  |  |  |  |  |  |                     |  |      |
|   |  |         |  |  |  |  |  |  |                     |  |      |
|   |  |         |  |  |  |  |  |  |                     |  |      |

Explanation of Responses:

1. Reflects grant of stock units in lieu of cash for quarterly portion of annual retainer and/or meeting fees.
2. The stock units will be paid out in cash as of the first January 1 after the last date of the Reporting Person's service as a director of the Company.
3. Represents reinvestment of dividend equivalents on outstanding Stock Units.
4. Granted pursuant to the Issuer's Non-Employee Director Share Incentive Plan.

SIGNATURE OF REPORTING PERSON

Irvine O. Hockaday, Jr.

DATE

12/10/2001