#### GILMORE ROBERT R

Form 4 June 14, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

3235-0287 Number:

**OMB APPROVAL** 

January 31, Expires: 2005 Estimated average

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if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading GILMORE ROBERT R Issuer Symbol LAYNE CHRISTENSEN CO (Check all applicable) [LAYN] (Last) (First) (Middle) 3. Date of Earliest Transaction X\_ Director 10% Owner Officer (give title Other (specify (Month/Day/Year) below) 1800 HUGHES LANDING 06/14/2018 **BLVD., SUITE 800** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting THE WOODLANDS, TX 77380 (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Form: Direct Indirect (Instr. 3) Code (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Ownership (Month/Day/Year) (Instr. 8) Owned Indirect (I) Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Price Amount (D) Common 06/14/2018 D D 22,962 D <u>(1)</u> 0 Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. I De Sec (In
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Units	<u>(2)</u>	06/14/2018		D	279.36	(2)	(2)	Common Stock	279.36	
Stock Option (right to buy)	(3)	06/14/2018		D	3,000	(3)	(3)	Common Stock	3,000	
Stock Option (right to buy)	<u>(3)</u>	06/14/2018		D	1,309	(3)	(3)	Common Stock	1,309	
Stock Option (right to buy)	(3)	06/14/2018		D	706	(3)	(3)	Common Stock	706	
Stock Option (right to buy)	(3)	06/14/2018		D	2,721	(3)	(3)	Common Stock	2,721	
Stock Option (right to buy)	<u>(4)</u>	06/14/2018		D	14,937	<u>(4)</u>	<u>(4)</u>	Common Stock	14,937	
Restricted Stock Units	<u>(5)</u>	06/14/2018		D	5,650	<u>(5)</u>	<u>(5)</u>	Common Stock	5,650	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
• 8	Director	10% Owner	Officer	Other			
GILMORE ROBERT R 1800 HUGHES LANDING BLVD. SUITE 800 THE WOODLANDS, TX 77380	X						

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### **Signatures**

/s/Steven F. Crooke, Attorney-in-Fact for Robert R. Gilmore

06/14/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Disposed of pursuant to merger agreement between issuer and Granite Construction Incorporated ("Granite") in exchange for 6,199.74 shares of Granite common stock having a market value of \$58.11 per share on the effective date of the merger.
- (2) These Phantom Stock Units were accrued under the Layne Christensen Company Deferred Compensation Plan for Directors and were canceled in the merger in exchange for a cash payment of \$4,353.87.
- (3) This option was canceled in the merger without consideration.
- This option was canceled in the merger in exchange for a cash payment of \$127,829.35, representing the difference between the exercise price of the option and the market value of the underlying Layne common stock on the effective date of the merger (\$15.5979 per share).
- (5) These restricted stock units were canceled in the merger in exchange for a cash payment of \$88,128.14.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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