

WILCOX RICHARD W JR

Form 4

March 08, 2019

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**WILCOX RICHARD W JR**

(Last) (First) (Middle)

14050 N.W. 14 STREET, SUITE  
180

(Street)

SUNRISE, FL 33323

(City)

(State)

(Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**FedNat Holding Co [FNHC]**

3. Date of Earliest Transaction  
(Month/Day/Year)

03/06/2019

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock, par value \$0.01 per share	03/06/2019		A	5,546 (1)	A \$ 0 143,761 (2)	D	
Common Stock, par value \$0.01 per share	03/06/2019		S	5,000	D \$ 18.33 138,761 (3)	D	
Common Stock, par value \$0.01 per share	03/07/2019		S	3,000	D \$ 17.69 135,761 (4)	D	

# Edgar Filing: WILCOX RICHARD W JR - Form 4

Common Stock, par value \$0.01 per share	03/08/2019	S	2,000	D	\$ 16.67 (5)	133,761	D	
Common Stock, par value \$0.01 per share						3,000	I	Held by Richard W Wilcox IRA
Common Stock, par value \$0.01 per share						40,000	I	Held by spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
WILCOX RICHARD W JR 14050 N.W. 14 STREET SUITE 180 SUNRISE, FL 33323	X

## Signatures

/s/ Richard W.  
Wilcox, Jr.

03/08/2019

\_\_Signature of Reporting  
Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted shares granted under the Issuer's 2018 Omnibus Incentive Compensation Plan, which vest over three years, one-third on each anniversary of the grant date.
- (2) Includes (i) 5,546 restricted shares described in footnote (1); and (ii) an aggregate of 9,707 unvested restricted shares previously granted under the 2012 Stock Incentive Plan, which vest over three years beginning on the respective grant dates.
- (3) Reflects sales at prices ranging from \$18.1100 to \$18.4100 per share. The reporting person undertakes to provide, upon request, information regarding the number of shares sold at each price as required by SEC rules.
- (4) Reflects sales at prices ranging from \$17.6000 to \$17.8217 per share. The reporting person undertakes to provide, upon request, information regarding the number of shares sold at each price as required by SEC rules.
- (5) Reflects sales at prices ranging from \$16.6601 to \$16.6701 per share. The reporting person undertakes to provide, upon request, information regarding the number of shares sold at each price as required by SEC rules.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.