Edgar Filing: CLEARBRIDGE AMERICAN ENERGY MLP FUND INC. - Form 4

CLEARBRIDGE AMERICAN ENERGY MLP FUND INC.

Form 4

February 19, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

0.5

Estimated average

OMB APPROVAL

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subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

PRUDENTIAL FINANCIAL INC			Symbol CLEARBRIDGE AMERICAN ENERGY MLP FUND INC. [CBA]					Issuer (Check all applicable)				
	(Last) 751 BROAD	· · ·	Middle)	3. Date of E (Month/Day 02/17/202	y/Year)	nsaction		bel	Director Officer (give titl ow)	eX 10% e Other below)		
(Street) NEWARK, NJ 07102				4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person _X_ Form filed by More than One Reporting Person			
	(City)	(State)	(Zip)	Table	I - Non-De	rivative S	ecurit	l, Disposed of, or Beneficially Owned				
	1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	e) Executi any		3. Transaction Code (Instr. 8)	Officer (Instr. 3,	sed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Series C Mandatory Redeemable Preferred Stock	02/17/2016			J	41 (1)	D	\$ 103,014 (2)	99	Ι	By The Prudential Insurance Company of America, a wholly-owned subsidiary of the Reporting Person	
	Series D Mandatory Redeemable	02/17/2016			J	24 (1)	D	\$ 103,087 (2)	56 (3)	I	By The Prudential Insurance	

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Preferred Stock								Company of America, a wholly-owned subsidiary of the Reporting Person
Series D Mandatory Redeemable Preferred	02/17/2016	J	85 <u>(1)</u>	D	\$ 103,087 (2)	205	I	By Prudential Legacy Insurance Company of New Jersey, a wholly-owned
Stock								subsidiary of the Reporting Per

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	7. Titl Amou Under Secur (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secun Bene Owne Follo Repo Trans (Instr
			Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships					
coposing of the random same	Director	10% Owner	Officer	Other		
PRUDENTIAL FINANCIAL INC 751 BROAD ST NEWARK, NJ 07102		X				
		X				

Reporting Owners 2

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PRUDENTIAL INSURANCE CO OF AMERICA 751 BROAD STREET NEWARK, NJ 07102

Signatures

Prudential Financial, Inc., By: /s/ Hillary Lorenzo, Vice President

**Signature of Reporting Person

Date

The Prudential Insurance Company of America, By: /s/ Christopher Halloran, Vice President

**Signature of Reporting Person

Date

Prudential Legacy Insurance Company of New Jersey, By: PGIM, Inc., as Investment

Manager, By: /s/ Christopher Halloran, Vice President

**Signature of Reporting Person

Date

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Share transfer to Issuer on 2/17/2016.
- (2) Per Share Price includes accrued dividends and 2% premium.
- (3) As grantor of the PICA Hartford Life & Annuity Comfort Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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