Edgar Filing: KAYNE ANDERSON MIDSTREAM/ENERGY FUND, INC. - Form 4

KAYNE ANDERSON MIDSTREAM/ENERGY FUND, INC.

Form 4

February 16, 2016

FO	R	M	4
_			

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB APPROVAL OMB

3235-0287 Number:

January 31, Expires: 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * SUN LIFE FINANCIAL INC

2. Issuer Name and Ticker or Trading

Symbol

Issuer

KAYNE ANDERSON

MIDSTREAM/ENERGY FUND,

(Check all applicable)

5. Relationship of Reporting Person(s) to

INC. [KMF]

3. Date of Earliest Transaction

Filed(Month/Day/Year)

Director X__ 10% Owner Other (specify Officer (give title below)

(Last) (First) (Middle) (Month/Day/Year)

11/24/2015

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person _X_ Form filed by More than One Reporting

Person

TORONTO, A6 M5H 1J9

150 KING STREET WEST,

(State) (Zip) (City) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

4. Securities Acquired Transaction(A) or Disposed of (D) (Instr. 3, 4 and 5) Code (Instr. 8) (Month/Day/Year)

5. Amount of Securities Beneficially Owned Following Reported

6. 7. Nature of Ownership Indirect Form: Beneficial Direct (D) Ownership or Indirect (Instr. 4)

(A) Transaction(s) or (Instr. 3 and 4)

(Instr. 4)

I

Code V Amount (D) Price

By Sun Life

Mandatory Redeemable 11/24/2015 Preferred

Series A

Shares

J(1)560,000 D Assurance Company of Canada

(2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

SEC 1474 (9-02)

Edgar Filing: KAYNE ANDERSON MIDSTREAM/ENERGY FUND, INC. - Form 4

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Under	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships					
· · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other		
SUN LIFE FINANCIAL INC 150 KING STREET WEST TORONTO, A6 M5H 1J9		X				
Sun Life Assurance Co of Canada 150 KING STREET WEST SUITE 1400 TORONTO, A6 M5H 1J9		X				

Signatures

/s/ John T. Donnelly, Authorized Signatory of Sun Life Financial Inc. and Sun Life Assurance Company of Canada		
**Signature of Reporting Person	Date	
/s/ Stephen Peacher, Authorized Signatory of Sun Life Financial Inc. and Sun Life Assurance Company of Canada	02/16/2016	
**Signature of Reporting Person	Date	

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On November 24, 2015, the issuer redeemed all outstanding shares of its Series A Mandatory Redeemable Preferred Shares.
- (2) Shares were owned by Sun Life Assurance Company of Canada, a 100% owned subsidiary of Sun Life Financial Inc.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2