Edgar Filing: CATALYST PHARMACEUTICAL PARTNERS, INC. - Form 4

CATALYST PHARMACEUTICAL PARTNERS, INC.

Form 4

October 03, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1500

1. Name and Address of Reporting Person *

GRANDE ALICIA

(First) (Middle)

355 ALHAMBRA CIRCLE, SUITE

(Street)

2. Issuer Name and Ticker or Trading

Symbol

CATALYST PHARMACEUTICAL PARTNERS, INC. [CPRX]

3. Date of Earliest Transaction

(Month/Day/Year) 10/02/2014

4. If Amendment, Date Original

Filed(Month/Day/Year)

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

(D) or

Indirect (I)

(Instr. 4)

5. Relationship of Reporting Person(s) to

(Check all applicable)

VP, Treasurer and CFO

below)

10% Owner

6. Ownership 7. Nature of

Beneficial

Ownership

(Instr. 4)

Form: Direct Indirect

Other (specify

OMB APPROVAL

3235-0287

January 31,

2005

0.5

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Number:

Expires:

response...

Estimated average

burden hours per

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

below)

Director

X_ Officer (give title

(City) (State) (Zip)

CORAL GABLES, FL 33134

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Month/Day/Year)

3. 4. Securities Acquired 5. Amount of Transaction(A) or Disposed of Code (D) (Instr. 3, 4 and 5) (Instr. 8)

Amount

Owned Following Reported

Securities

Beneficially

Transaction(s) (Instr. 3 and 4) Price

Common

stock, par value

share

10/02/2014 \$0.001 per

90,000 A M

Code V

(A)

or

(D)

156,346

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		3 (
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Options to purchase common stock	\$ 0.9	10/02/2014		M	90,000	<u>(1)</u>	10/20/2014	Common Stock	90,000	

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

GRANDE ALICIA 355 ALHAMBRA CIRCLE, SUITE 1500 CORAL GABLES, FL 33134

VP, Treasurer and CFO

Signatures

/s/ Alicia
Grande

_**Signature of Reporting Person

10/03/2014

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option was granted on October 20, 2009 and vested in three equal annual installments.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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