GWG Holdings, Inc. Form 3/A April 08, 2015

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement GWG Holdings, Inc. [GWGH] A Maguire Charles H III (Month/Day/Year) 09/18/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 220 SOUTH SIXTH STREET, 09/18/2014 (Check all applicable) **SUITE 1200** (Street) 6. Individual or Joint/Group 10% Owner \_X\_ Director Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Person MINNEAPOLIS, MNÂ 55402 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â 0 Common Stock, \$.001 par value D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
		Title	Derivative	Security:	
			Security	Direct (D)	

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Stock Options (right to buy)	01/01/2014	12/31/2023	Common Stock, \$.001 par value	3,500	\$ 7.52	D	Â
Stock Options (right to buy)	04/01/2014	03/31/2024	Common Stock, \$.001 par value	1,750	\$ 7.46	D	Â
Stock Options (right to buy)	07/01/2014	06/30/2024	Common Stock, \$.001 par value	1,750	\$ 7.46	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
topotting of the runner, runners	Director	10% Owner	Officer	Other	
Maguire Charles H III 220 SOUTH SIXTH STREET, SUITE 1200 MINNEAPOLIS, MN 55402	ÂX	Â	Â	Â	

## **Signatures**

/s/ William Acheson, as Attorney-in-fact for Charles H.

Maguire III

04/08/2015

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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#### **Remarks:**

Exhibit 24.1 Power of Attorney previously filed and incorporated herein by reference to Form 3Â file Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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