Edgar Filing: Corbus Barclay - Form 4

Corbus Barc	lay											
Form 4												
April 12, 201	18											
FORM		CECUD			.	NCE	COMMERION	r	PPROVAL			
	UNITE	DSIAIES			ND EXCHANGE COMMISSION			OMB Number:	3235-0287			
Check this box				Washington, D.C. 20549						January 31,		
if no long		EMENT O	F CHAN	CHANGES IN BENEFICIAL OWNERSHIP OF						Expires: 2005		
subject to Section 1)			SECURITIES					Estimated average burden hours per			
Form 4 o									response	•		
Form 5	Filed p	oursuant to	Section 1	6(a) of the	e Securit	ies E	xchang	ge Act of 1934,				
obligation may cont		7(a) of the	Public Ut	ility Hold	ing Com	ipany	Act of	f 1935 or Sectio	n			
See Instru		30(h)	of the In	vestment	Compan	y Ac	t of 194	40				
1(b).												
(Drint or Tuno I	Pasponsos)											
(Print or Type F	(csponses)											
1. Name and Address of Reporting Person 2. Issue				r Name and Ticker or Trading				5. Relationship of Reporting Person(s) to				
Corbus Barc	Symbol	-				Issuer						
			•	nergy Fue	els Corp.	[CL]	NE]	(Chook all applicable)				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				(Check all applicable)					
				onth/Day/Year)				Director 10% Owner				
C/O CLEAN	N ENERGY FU	JELS	04/10/20	-				Officer (give title Other (specify				
CORP., 467	5 MACARTH	UR						below) SVP. Str	below) ategic Develop	ment		
COURT, SU	JITE 800											
(Street)			4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check				
Filed(M				ed(Month/Day/Year)				Applicable Line)				
								X Form filed by C	One Reporting Pe Aore than One Re			
NEWPORT	BEACH, CA	92660						Person		porting		
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	Securi	ities Acc	uired, Disposed o	f, or Beneficial	lly Owned		
1.Title of	2. Transaction I	Date 2A. Dee	med	3.	4. Securi	ties A	cquired	5. Amount of	6. Ownership	7. Nature of		
Security	(Month/Day/Ye	ar) Execution	on Date, if Transaction(A) or Disposed of Code (D) Day/Year) (Instr. 8) (Instr. 3, 4 and 5)				d of	Securities	Form: Direct	Indirect		
(Instr. 3)		any (Month)					5)	Beneficially Owned	(D) or Indirect (I)	Beneficial Ownership		
		(WORLD)					5)	Following	(Instr. 4)			
						(A)		Reported	(Instr. 4)	· · ·		
						or		Transaction(s)				
				Code V	Amount	(D)	Price	(Instr. 3 and 4)				
Common	04/10/2018			A(1)	4,576	А	<u>(1)</u>	525,696	D			
Stock					,							
Common Stock	04/10/2018			F <u>(2)</u>	1,583	D	\$ 1.39	524,113	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	Amou Unde Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Corbus Barclay C/O CLEAN ENERGY FUELS CORP. 4675 MACARTHUR COURT, SUITE 800 NEWPORT BEACH, CA 92660)		SVP, Strategic Development				
Signatures							
/s/ J. Nathan Jensen, Attorney-in-Fact	04/12/2018	3					
**Signature of Reporting Person	Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents an award of 4,576 fully vested shares of the Issuer's common stock. Such stock award was granted in exchange for and in accordance with the terms of the reporting person's agreement with the Issuer to surrender and cancel certain option awards to purchase the equity of an indirect subsidiary of the Issuer in connection with the sale of certain assets of such indirect subsidiary in March 2017,

- (1) The equity of an inducet substantial of the issuer in connection with the safe of certain assets of such inducet substantial in Match 2017, and was granted in lieu of the cash consideration to which the reporting person would have been entitled, as a former equity holder of such indirect subsidiary and but for such agreement to surrender and cancel such option awards, upon the payment of certain earn-out consideration by the purchaser of such assets in 2018.
- (2) Shares were withheld by the Issuer to pay the tax withholding obligations that arose upon the grant of the stock award reported in this statement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.