CRUZ WILLIAM R

Form 4

March 19, 2008

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

**OMB APPROVAL** 

burden hours per

response...

subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

if no longer

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* CRUZ WILLIAM R

2. Issuer Name and Ticker or Trading

Symbol

TRADESTATION GROUP INC

Issuer

(Check all applicable)

5. Relationship of Reporting Person(s) to

[TRAD]

03/18/2008

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ Director X\_\_ 10% Owner

Officer (give title \_ Other (specify below)

8050 SW 10TH STREET, SUITE

(Street)

4000

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

PLANTATION, FL 33324

(City)	(State) (2	Zip) Table	e I - Non-D	erivative (	Securi	ities Acc	quired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock, par value \$.01	03/18/2008		Code $V$ $S_{\underline{(1)}}$	Amount 4,141	(D)	Price \$ 9.17	(Instr. 3 and 4) 5,968,997	I	Partner (2)
per share  Common Stock, par									
value \$.01 per share	03/18/2008		S <u>(1)</u>	1,291	D	9.16	5,967,706	I	Partner (2)
Common Stock, par value \$.01	03/18/2008		S <u>(1)</u>	1,581	D	\$ 9.15	5,966,125	I	Partner (2)

### Edgar Filing: CRUZ WILLIAM R - Form 4

per share								
Common Stock, par value \$.01 per share	03/18/2008	S <u>(1)</u>	1,200	D	\$ 9.14	5,964,925	I	Partner (2)
Common Stock, par value \$.01 per share	03/18/2008	S <u>(1)</u>	919	D	\$ 9.13	5,964,006	I	Partner (2)
Common Stock, par value \$.01 per share	03/18/2008	S(1)	700	D	\$ 9.12	5,963,306	I	Partner (2)
Common Stock, par value \$.01 per share	03/18/2008	S <u>(1)</u>	3,200	D	\$ 9.11	5,960,106	I	Partner (2)
Common Stock, par value \$.01 per share	03/18/2008	S <u>(1)</u>	768	D	\$ 9.1	5,959,338	I	Partner (2)
Common Stock, par value \$.01 per share	03/18/2008	S <u>(1)</u>	600	D	\$ 9.09	5,958,738	I	Partner (2)
Common Stock, par value \$.01 per share	03/18/2008	S <u>(1)</u>	65	D	\$ 9.08	5,958,673	I	Partner (2)
Common Stock, par value \$.01 per share	03/18/2008	S <u>(1)</u>	1,835	D	\$ 9.07	5,956,838	I	Partner (2)
Common Stock, par value \$.01 per share	03/18/2008	S <u>(1)</u>	2,000	D	\$ 9.06	5,954,838	I	Partner (2)
Common Stock, par value \$.01 per share	03/18/2008	S <u>(1)</u>	2,600	D	\$ 9.05	5,952,238	I	Partner (2)
Common Stock, par value \$.01 per share	03/18/2008	S(1)	1,000	D	\$ 9.04	5,951,238	I	Partner (2)

### Edgar Filing: CRUZ WILLIAM R - Form 4

Common Stock, par value \$.01 per share	03/18/2008	S <u>(1)</u>	400	D	\$ 9.03	5,950,838	I	Partner (2)
Common Stock, par value \$.01 per share	03/18/2008	S <u>(1)</u>	300	D	\$ 9.02	5,950,538	I	Partner (2)
Common Stock, par value \$.01 per share	03/18/2008	S <u>(1)</u>	400	D	\$ 9.01	5,950,138	I	Partner (2)
Common Stock, par value \$.01 per share	03/18/2008	S <u>(1)</u>	400	D	\$ 9	5,949,738	I	Partner (2)
Common Stock, par value \$.01 per share						200,000	I	Partner (3)
Common Stock, par value \$.01 per share						850	I	Spouse (4)
Common Stock, par value \$.01 per share						100	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration Date	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e	Securities	(Instr. 5)	Bene
	Derivative				Securities	3	(Instr. 3 and 4)		Owne
	Security				Acquired				Follo
					(A) or				Repo
					Disposed				Trans
					of (D)				(Instr
					(Instr. 3,				
					4, and 5)				

#### Edgar Filing: CRUZ WILLIAM R - Form 4

Date Expiration Date Expiration Title Number of Shares

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
. 9	Director	10% Owner	Officer	Other			
CRUZ WILLIAM R 8050 SW 10TH STREET SUITE 4000 PLANTATION, FL 33324	X	X					
WRCF I 1997 LTD PARTNERSHIP 8050 SW 10TH STREET SUITE 4000 PLANTATION, FL 33324		X					

## **Signatures**

/s/ William R. Cruz, individually and as President of WRCF-I GP, Inc; the general partner of WRCF-I 1997 Limited Partnership

03/19/2008

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by WRCF-I 1997 Limited Partnership on February 20, 2008.
- (2) Shares owned by WRCF-I 1997 Limited Partnership, the 1% general partner of which is a Texas corporation that is wholly owned by William R. Cruz and the 99% limited partner of which is William R. Cruz. WRCF-I 1997 Limited Partnership is a 10% owner of issuer.
- (3) Shares owned by WRCF-II 1997 Limited Partnership, which is 100% beneficially owned by William R. Cruz. This partnership engaged in no purchase or sale transactions regarding the shares.
- (4) Shares owned by Patricia Cruz, wife of William R. Cruz. William R. Cruz disclaims beneficial ownership of the shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 4