Groupon, Inc. Form 4 August 16, 2013

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

2. Issuer Name and Ticker or Trading

Washington, D.C. 20549

## Number:

3235-0287

Expires:

OMB

January 31, 2005

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response... 0.5

**OMB APPROVAL** 

Check this box if no longer subject to Section 16. Form 4 or Form 5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* BARRIS PETER J

Symbol

5. Relationship of Reporting Person(s) to

Issuer

(First) (Last)

(Middle)

Groupon, Inc. [GRPN] 3. Date of Earliest Transaction

(Check all applicable)

1954 GREENSPRING DRIVE, SUITE 600

08/14/2013

(Month/Day/Year)

\_X\_\_ Director \_X\_\_ 10% Owner \_\_ Other (specify Officer (give title

below)

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

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(City)	(State)	(Zip) Tai	ble I - Non	-Derivative Sec	uritie	s Acquired	l, Disposed of, or	Beneficially	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	(A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common Stock	08/14/2013		J <u>(1)</u>	13,000,000	D	\$ 0	74,184,956	I	See Note 2 (2)
Class A Common Stock	08/14/2013		J(3)	130,000	A	\$ 0	130,000	I	See Note 4 (4)
Class A Common Stock	08/14/2013		<u>J(5)</u>	130,000	D	\$ 0	0	I	See Note 4 (4)
Class A Common	08/14/2013		J <u>(6)</u>	5,770	A	\$ 0	5,770	I	See Note 7 (7)

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Class A Common Stock	08/14/2013	J <u>(8)</u>	1,770	A	\$ 0	1,770	I	See Note 9 (9)
Class A Common Stock	08/14/2013	J <u>(10)</u>	27,595	A	\$ 0	27,595	I	See Note 11 (11)
Class A Common Stock	08/15/2013	S	27,595	D	\$ 9.7709 (12)	0	I	See Note 11 (11)
Class A Common Stock						24,455	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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8. Pr Deri Secu (Inst

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.		5.	6. Date Exerc	cisable and	7. Title and A	Amount of	8
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transa	ctio	nNumber	Expiration D	ate	Underlying S	ecurities	]
Security	or Exercise		any	Code		of	(Month/Day/	Year)	(Instr. 3 and	4)	,
(Instr. 3)	Price of		(Month/Day/Year)	(Instr.	8)	Derivative	•				(
	Derivative					Securities					
	Security					Acquired					
	•					(A) or					
						Disposed					
						of (D)					
						(Instr. 3,					
						4, and 5)					
						, ,					
							Date	Expiration		Amount or	
							Exercisable	Date	Title	Number of	
				Code	V	(A) (D)	Excreisaore	Dute		Shares	
Deferred									Class A		
Stock	\$ 0						(13)	(13)	Common	25,041.304	
	ΨΟ							<del></del>		23,071.301	
Units									Stock		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
• 0	Director	10% Owner	Officer	Other				
BARRIS PETER J 1954 GREENSPRING DRIVE SUITE 600	X	X						

Reporting Owners 2

TIMONIUM, MD 21093

#### **Signatures**

/s/ Sasha Keough, attorney-in-fact

08/16/2013

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) New Enterprise Associates 12, Limited Partnership ("NEA 12") made a pro rata distribution for no consideration of an aggregate of 13,000,000 shares of Class A Common Stock of the Issuer to its general partner and its limited partners on August 14, 2013.
- The Reporting Person is a manager of NEA 12 GP, LLC, which is the sole general partner of NEA Partners 12, Limited Partnership

  ("NEA Partners 12"). NEA Partners 12 is the sole general partner of NEA 12, the direct beneficial owner of the securities. The Reporting Person disclaims beneficial ownership within the meaning of Section 16 of the Securities Exchange Act of 1934, as amended, or otherwise of such portion of the securities held by NEA 12 in which the Reporting Person has no pecuniary interest.
- (3) NEA Partners 12 received 130,000 shares of Class A Common Stock of the Issuer in the distribution by NEA 12 on August 14, 2013.
- The Reporting Person is a manager of NEA 12 GP, the sole general partner of NEA Partners 12. The Reporting Person disclaims

  (4) beneficial ownership within the meaning of Section 16 of the Securities Exchange Act of 1934, as amended, or otherwise of such portion of the securities of the Issuer held by NEA Partners 12 in which the Reporting Person has no pecuniary interest.
- (5) NEA Partners 12 made a pro rata distribution for no consideration of an aggregate of 130,000 shares of Class A Common Stock of the Issuer to its limited partners on August 14, 2013.
- (6) PJ Barris, LLC received 5,770 shares of Class A Common Stock of the Issuer in the distribution by NEA Partners 12 on August 14, 2013.
- The Reporting Person is a member of PJ Barris, LLC, which is the direct beneficial owner of the securities. The Reporting Person disclaims beneficial ownership within the meaning of Section 16 of the Securities Exchange Act of 1934, as amended, or otherwise of such portion of the securities of the Issuer held by PJ Barris, LLC in which the Reporting Person has no pecuniary interest.
- (8) PDB LLC received 1,770 shares of Class A Common Stock of the Issuer in the distribution by NEA Partners 12 on August 14, 2013.
- The Reporting Person is the investment advisor of PDB LLC, which is the direct beneficial owner of the securities. The Reporting Person disclaims beneficial ownership within the meaning of Section 16 of the Securities Exchange Act of 1934, as amended, or otherwise of such portion of the securities held by PDB LLC in which the Reporting Person has no pecuniary interest.
- (10) New Enterprise Associates, LLC ("NEA LLC") received 27,595 shares of Class A Common Stock of the Issuer in the distribution by NEA Partners 12 on August 14, 2013.
- The Reporting Person is a member of the Board of Directors of NEA LLC, which is the direct beneficial owner of the securities. The

  (11) Reporting Person disclaims beneficial ownership within the meaning of Section 16 of the Securities Exchange Act of 1934, as amended, or otherwise of such portion of the securities of the Issuer held by NEA LLC in which the Reporting Person has no pecuniary interest.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$9.62 to \$9.91, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (12) to this Form 4.
- The Reporting Person received exempt awards of Deferred Stock Units ("DSUs") under the Issuer's Non-Employee Director
  Compensation Plan. DSUs represent a right to receive shares of the Issuer's Class A Common Stock (or, in the sole discretion of the Issuer's Board of Directors following a change in control, cash, securities or a combination of cash and securities equal to the fair market value thereof) upon termination of service as a Director of the Issuer. The DSUs are vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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