Edgar Filing: FISHER DAVID NICHOLS III - Form 3

FISHER DAVID NICHOLS III Form 3 January 02, 2019 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB APPROVAL FORM 3 Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

(Print or Type Responses)

1. Name and Address of Reporting

Person <u>*</u> FISHER DAVID NICHOLS III			Statement (Month/Day/Year) 01/01/2019	(Month/Day/Year)						
(Last) (First) (Middle) C/O PIMCO, 650 NEWPORT CENTER DRIVE				4. Relationship of Repo Person(s) to Issuer (Check all applic			Filed(Month/Day/Year)			
NEWPORT BEACH, (CAÂ 9266			XDirector10% Owne OfficerOther (give title below) (specify below)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table I	Table I - Non-Derivative Securities Beneficially Owned						
1.Title of Secu (Instr. 4)	rity			t of Securities Ily Owned	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Na Owne (Instr				
Reminder: Report on a separate line for each class of securities benef owned directly or indirectly.			ficially	SEC 1473 (7-02	2)					
	Perso	ons who res	spond to the collection tained in this form are r							

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D)	

OMB Number:	3235-0104				
Expires:	January 31 2005				
Estimated a burden hour response					

Edgar Filing: FISHER DAVID NICHOLS III - Form 3

Relationships

Shares

or Indirect (I) (Instr. 5)

Reporting Owners

Reporting Owner Name / Address	· · · · · · ·						
	Director	10% Owner	Officer	Other			
FISHER DAVID NICHOLS III C/O PIMCO 650 NEWPORT CENTER DRIVE NEWPORT BEACH, CA 92660	ÂX	Â	Â	Â			
Signatures							
/s/ Kevin Van Gorder, Attorney-in-Fact for David Nichols Fisher III							

**Signature of Reporting Person

Explanation of Responses:

No securities are beneficially owned

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Â

Remarks:

Exhibit List: Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

02/2019

Date