### Edgar Filing: Carbonite Inc - Form 4

Carbonite In Form 4 October 21, <b>FORM</b> Check th if no lon subject to Section 2 Form 4 Form 5 obligation may con <i>See</i> Instr 1(b).	2016 <b>A 4</b> UNITED uis box ger o 16. or Filed pur Section 17(	<b>IENT OF C</b> rsuant to Sect a) of the Pub	Washington HANGES IN SECUI ion 16(a) of th	h, D.C. 20 BENEF RITIES ne Securit Iding Con	549 ICIA ies E ipany	L OWN xchange ⁄ Act of	OMMISSION ERSHIP OF Act of 1934, 1935 or Section 0	OMB Number: Expires: Estimated a burden hour response	0		
1. Name and Address of Reporting Person _       2. Issues         SHEER DANIELLE       Symbol         Carbonic       Carbonic				d Ticker or	Tradir	-0	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Month/			Date of Earliest T onth/Day/Year) /18/2016	-				Director 10% Owner X Officer (give title Other (specify below) below) Vice President;General Counsel			
(Street) 4. If Ame Filed(Mor BOSTON, MA 02111				ate Origina r)	l		<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> <li>Person</li> </ul>				
(City)	(State)	(Zip)	Table I - Non-	Derivative	Securi	ities Acqu	iired, Disposed of	, or Beneficial	y Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Da any (Month/Day/Y	Code	4. Securit or(A) or Di (Instr. 3, 4)	sposed	l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	10/18/2016		М	20,000	А	\$ 6.63	114,532	D			
Common Stock	10/18/2016		S	20,000 (1)	D	\$ 15.98 (2)	94,532 <u>(3)</u>	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: Carbonite Inc - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock	\$ 6.63	10/18/2016		М	20,000	01/17/2013	10/17/2022	Common Stock	20,000

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
SHEER DANIELLE C/O CARBONITE, INC. 2 AVENUE DE LAFAYETTE BOSTON, MA 02111			Vice President;General Counsel				
Signatures							

/s/ Danielle 10/21/2016 Sheer \*\*Signature of

Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). \*\*
- (1) Represents shares automatically sold pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on September 13, 2016.

The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$15.87 to \$16.09, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the

- (2) Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (3) Includes 92,656 shares of common stock subject to unvested restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.